

# Tetrahedron equation and quantum $R$ matrices for $q$ -oscillator representations

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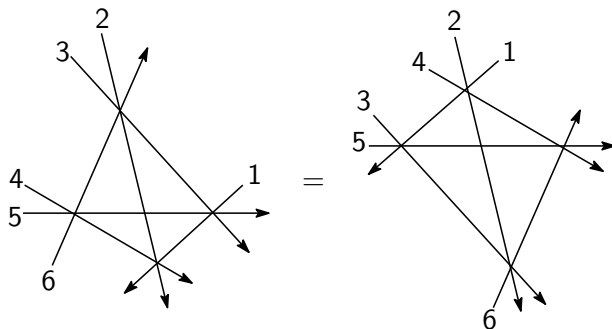
Joint work with Masato Okado

# Tetrahedron equation

$$R : F \otimes F \otimes F \rightarrow F \otimes F \otimes F \quad (3D R)$$

$$R_{1,2,4}R_{1,3,5}R_{2,3,6}R_{4,5,6} = R_{4,5,6}R_{2,3,6}R_{1,3,5}R_{1,2,4} \in \text{End}(F^{\otimes 6})$$

(A.B. Zamolodchikov 1980)



### 3D $R$ : $q$ -oscillator solutions

$F = \bigoplus_{m \geq 0} \mathbb{C}|m\rangle$  Fock space ( $q$ : generic).

$$R(|i\rangle \otimes |j\rangle \otimes |k\rangle) = \sum_{a,b,c \geq 0} R_{i,j,k}^{a,b,c} |a\rangle \otimes |b\rangle \otimes |c\rangle,$$

$$R_{i,j,k}^{a,b,c} = \delta_{i+j}^{a+b} \delta_{j+k}^{b+c} \sum_{\lambda+\mu=b} (-1)^\lambda q^{i(c-j)+(k+1)\lambda+\mu(\mu-k)} \frac{(q^2)_{c+\mu}}{(q^2)_c} \binom{i}{\mu}_{q^2} \binom{j}{\lambda}_{q^2}.$$

$$\delta_j^a = \begin{cases} 1 & a = j \\ 0 & a \neq j, \end{cases} \quad (q)_m = \prod_{j=1}^m (1 - q^j), \quad \binom{m}{j}_q = \frac{(q)_m}{(q)_j (q)_{m-j}}.$$

Remark.  $R_{i,j,k}^{a,b,c}$  is a polynomial in  $q$ . For example,

$$R_{314}^{041} = -q^2(1 - q^4)(1 - q^6)(1 - q^8),$$

$$R_{314}^{132} = (1 - q^6)(1 - q^8)(1 - q^4 - q^6 - q^8 - q^{10}),$$

$$R_{314}^{223} = q^2(1 + q^2)(1 + q^4)(1 - q^6)(1 - q^6 - q^{10}), \text{ etc.}$$

# Origin of 3D $R$

(1) Kapranov-Voevodsky (1994):

Intertwiner of quantized coordinate ring  $A_q(sl_3)$

(2) Bazhanov-Sergeev (2006): Quantization of Miquel's theorem (1838)

(3) Sergeev (2008): (2)=Transition coefficients of PBW bases of  $U_q^+(sl_3)$

(4) K-Okado (2012): (1)=(2)  $\subset$  solution of 3d reflection equation

Remark: 3D  $R$  has Combinatorial and Birational counterparts

$$3D R \xrightarrow{q \rightarrow 0} \text{Combinatorial } 3D R \xleftarrow{\text{ultradiscretization}} \text{Birational } 3D R$$

# Reduction to 2D

$$R_{124}R_{135}R_{236}R_{456} = R_{456}R_{236}R_{135}R_{124}$$

↓ 2d reduction (eliminate spaces 4,5,6)

$$S_{12}(x)S_{13}(xy)S_{23}(y) = S_{23}(y)S_{13}(xy)S_{12}(x) \quad \cdots \text{Yang-Baxter equation}$$

Prescription : 
$$\begin{aligned} & \langle \chi_s(x, y) | R_{124}R_{135}R_{236}R_{456} | \chi_t(1, 1) \rangle \\ & = \langle \chi_s(x, y) | R_{456}R_{236}R_{135}R_{124} | \chi_t(1, 1) \rangle \end{aligned}$$

by the **boundary vectors**

$$\langle \chi_s(x, y) | = \langle \chi_s(x) | \otimes \langle \chi_s(xy) | \otimes \langle \chi_s(y) | \in \overset{4}{F^*} \otimes \overset{5}{F^*} \otimes \overset{6}{F^*},$$

$$| \chi_t(x, y) \rangle = | \chi_t(x) \rangle \otimes | \chi_t(xy) \rangle \otimes | \chi_t(y) \rangle \in \overset{4}{F} \otimes \overset{5}{F} \otimes \overset{6}{F}$$

satisfying  $\langle \chi_s(x, y) | R_{456} = \langle \chi_s(x, y) |$ ,  $R_{456} | \chi_t(x, y) \rangle = | \chi_t(x, y) \rangle$ .

Then  $S_{12}(x) = \langle \chi_s(x) | R_{123} | \chi_t(1) \rangle \in \text{End}(F \otimes F)$ , etc.

# Boundary vectors

There are 2 such boundary vectors [K-Sergeev (2013)]:

$$\begin{aligned}\langle \chi_1(z) | &= \sum_{m \geq 0} \frac{z^m}{(q)_m} \langle m | & \langle \chi_2(z) | &= \sum_{m \geq 0} \frac{z^m}{(q^4)_m} \langle 2m |, \\ |\chi_1(z) \rangle &= \sum_{m \geq 0} \frac{z^m}{(q)_m} |m\rangle, & |\chi_2(z) \rangle &= \sum_{m \geq 0} \frac{z^m}{(q^4)_m} |2m\rangle.\end{aligned}$$

So far: 1-layer version of reduction

Possible to extend it to  $n$ -layer version

## $n$ -layer version of the tetrahedron equation

$$\prod_{1 \leq i \leq n}^{\rightarrow} (R_{1_i 2_i 4} R_{1_i 3_i 5} R_{2_i 3_i 6}) R_{456} = R_{456} \prod_{1 \leq i \leq n}^{\rightarrow} (R_{2_i 3_i 6} R_{1_i 3_i 5} R_{1_i 2_i 4})$$

$1_1, \dots, 1_n, 2_1, \dots, 2_n, 3_1, \dots, 3_n, 4, 5, 6$ : copies of the Fock space  $F$

The same reduction  $\langle \chi_s(x, y) | (\dots) | \chi_t(1, 1) \rangle$  works.

$\implies$  Solution of the Yang-Baxter equation constructed as

$$S^{s,t}(z) = \langle \chi_s(z) | R_{1_1 2_1 3} R_{1_2 2_2 3} \cdots R_{1_n 2_n 3} | \chi_t(1) \rangle \in \text{End}(F^{\otimes n} \otimes F^{\otimes n}).$$

(The evaluation is done in the space 3.)

# Matrix elements of $S^{s,t}(z)$ ( $s, t = 1, 2$ )

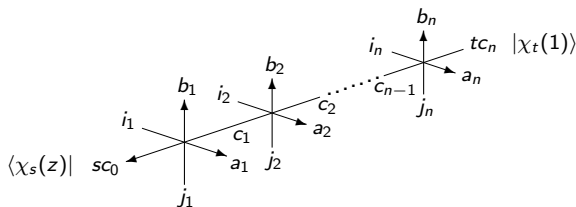
Notations:

$$|\mathbf{a}\rangle = |a_1\rangle \otimes \cdots \otimes |a_n\rangle \in F^{\otimes n} \quad \text{for } \mathbf{a} = (a_1, \dots, a_n) \in (\mathbb{Z}_{\geq 0})^n$$

$$\mathbf{e}_i = (0, \dots, 0, \overset{i}{1}, 0, \dots, 0), \quad \mathbf{0} = (0, 0, \dots, 0)$$

$$S^{s,t}(z)(|\mathbf{i}\rangle \otimes |\mathbf{j}\rangle) = \sum_{\mathbf{a}, \mathbf{b}} S^{s,t}(z)_{\mathbf{i}, \mathbf{j}}^{\mathbf{a}, \mathbf{b}} |\mathbf{a}\rangle \otimes |\mathbf{b}\rangle,$$

$$S^{s,t}(z)_{\mathbf{i}, \mathbf{j}}^{\mathbf{a}, \mathbf{b}} = \sum_{c_0, \dots, c_n \geq 0} \frac{z^{c_0} (q^2)_{sc_0}}{(q^{s^2})_{c_0} (q^{t^2})_{c_n}} R_{i_1, j_1, c_1}^{a_1, b_1, sc_0} R_{i_2, j_2, c_2}^{a_2, b_2, c_1} \cdots R_{i_n, j_n, tc_n}^{a_n, b_n, c_{n-1}}$$





# Examples

Substitute the matrix elements of 3D  $R$

$$R_{i,0,k}^{a,b,c} = q^{ac} \frac{(q^2)_i (q^2)_k}{(q^2)_a (q^2)_b (q^2)_c} \delta_i^{a+b} \delta_k^{b+c}, \quad R_{i,j,k}^{0,b,c} = (-1)^j q^{j(c+1)} \frac{(q^2)_k}{(q^2)_c} \delta_{i+j}^b \delta_{j+k}^{b+c}.$$

Up to an overall factor, the following formulas are valid ( $t = 1, 2$ ):

$$S^{1,t}(z)_{\mathbf{a},\mathbf{0}}^{\mathbf{a},\mathbf{0}} = (-q)^{-|\mathbf{a}|} S^{1,t}(z)_{\mathbf{0},\mathbf{a}}^{\mathbf{0},\mathbf{a}} = \frac{(z^t; q^t)_{|\mathbf{a}|}}{(-z^t q; q^t)_{|\mathbf{a}|}} \quad (|\mathbf{a}| = a_1 + \cdots + a_n),$$

$$S^{1,1}(z)_{\mathbf{e}_1, \mathbf{e}_1}^{2\mathbf{e}_1, \mathbf{0}} = (-q)^{-1} S^{1,1}(z)_{\mathbf{e}_1, \mathbf{e}_1}^{\mathbf{0}, 2\mathbf{e}_1} = \frac{(1+q)(1-z)}{(1+zq)(1+zq^2)},$$

where  $(z; q)_m = \prod_{j=1}^m (1 - zq^{j-1})$ .

## Proposition (summary so far)

$S(z) = S^{s,t}(z) \in \text{End}(F^{\otimes n} \otimes F^{\otimes n})$  satisfies the Yang-Baxter equation

$$S_{12}(x)S_{13}(xy)S_{23}(y) = S_{23}(y)S_{13}(xy)S_{12}(x)$$

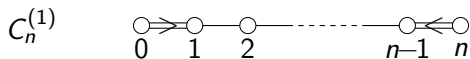
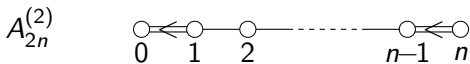
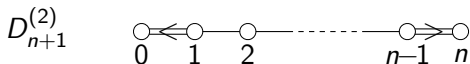
## Problem:

Find a **characterization** of  $S^{1,1}(z)$ ,  $S^{1,2}(z)$ ,  $S^{2,2}(z)$  in the framework of the quantum group theory. ( $S^{2,1}(z)$  is simply related to  $S^{1,2}(z)$ .)

## Result

They are quantum  $R$ -matrices intertwining the  **$q$ -oscillator representations** of  $U_q(D_{n+1}^{(2)})$ ,  $U_q(A_{2n}^{(2)})$ ,  $U_q(C_n^{(1)})$ .

## Dynkin diagrams



# $q$ -oscillator representations

$V_x := F^{\otimes n}[x, x^{-1}]$  ( $x$  : spectral parameter).

Let  $\langle e_j, f_j, k_j^{\pm 1} \rangle_{0 \leq j \leq n}$  act on  $V_x$  by  $([m] = (q^m - q^{-m})/(q - q^{-1}))$

$$e_0|\mathbf{m}\rangle = x|\mathbf{m} + \mathbf{e}_1\rangle$$

$$f_0|\mathbf{m}\rangle = \sqrt{-1}\kappa[m_1]x^{-1}|\mathbf{m} - \mathbf{e}_1\rangle \quad \kappa = (q + 1)/(q - 1)$$

$$k_0|\mathbf{m}\rangle = -\sqrt{-1}q^{m_1 + \frac{1}{2}}|\mathbf{m}\rangle$$

$$e_j|\mathbf{m}\rangle = [m_j]|\mathbf{m} - \mathbf{e}_j + \mathbf{e}_{j+1}\rangle \quad (0 < j < n)$$

$$f_j|\mathbf{m}\rangle = [m_{j+1}]|\mathbf{m} + \mathbf{e}_j - \mathbf{e}_{j+1}\rangle \quad (0 < j < n)$$

$$k_j|\mathbf{m}\rangle = q^{-m_j + m_{j+1}}|\mathbf{m}\rangle \quad (0 < j < n)$$

$$e_n|\mathbf{m}\rangle = \sqrt{-1}\kappa[m_n]|\mathbf{m} - \mathbf{e}_n\rangle$$

$$f_n|\mathbf{m}\rangle = |\mathbf{m} + \mathbf{e}_n\rangle$$

$$k_n|\mathbf{m}\rangle = \sqrt{-1}q^{-m_n - \frac{1}{2}}|\mathbf{m}\rangle.$$

$$\mathbf{e}_j = (0, \dots, \overset{j}{1}, \dots, 0), \quad \mathbf{m} = \sum_{j=1}^n m_j \mathbf{e}_j \in \mathbb{Z}^n, \quad |\mathbf{m}\rangle = |m_1\rangle \otimes \cdots \otimes |m_n\rangle \in F^{\otimes n}$$

## Proposition

$V_x$  is an irreducible representation ( $q$ -oscillator representation) of the Drinfeld-Jimbo quantum affine algebra  $U_q(D_{n+1}^{(2)}) = \langle e_j, f_j, k_j^{\pm 1} \rangle_{0 \leq j \leq n}$ .

- $U_q(A_{2n}^{(2)})$  and  $U_q(C_n^{(1)})$  also have similar  $q$ -oscillator representations. ( $U_q(D_2^{(2)})$  and  $U_q(C_1^{(1)})$  are regarded as  $U_q(A_1^{(1)})$ .)
- For  $U_q(D_{n+1}^{(2)})$  and  $U_q(A_{2n}^{(2)})$ , the  $q$ -oscillator representation is singular at  $q = 1$  due to  $\kappa = (q + 1)/(q - 1)$ .
- The  $q$ -oscillator representations for  $U_q(A_n^{(1)})$ ,  $U_q(C_n)$  were known by Hayashi (1990).

# Quantum $R$ matrix for $q$ -oscillator representation

For simplicity, consider  $U_q = U_q(D_{n+1}^{(2)})$  for the time being.

$R(z) \in \text{End}(V_x \otimes V_y)$  ( $z = x/y$ ) is characterized by

(i) Commutativity:  $[PR(z), \Delta(g)] = 0 \quad \forall g \in U_q$

( $\Delta$ : coproduct of  $U_q$ ,  $P(u \otimes v) = v \otimes u$ )

(ii) Normalization:  $R(z)(|\mathbf{0}\rangle \otimes |\mathbf{0}\rangle) = \frac{(-zq; q)_\infty}{(z; q)_\infty} |\mathbf{0}\rangle \otimes |\mathbf{0}\rangle$

Introduce a gauge transformed  $R(z)$  :

$$\tilde{R}(z) := (K^{-1} \otimes 1)R(z)(1 \otimes K)$$

$$K|\mathbf{m}\rangle = (-\sqrt{-1}q^{\frac{1}{2}})^{m_1 + \dots + m_n} |\mathbf{m}\rangle$$

# Properties of the $R$ -matrix

- The both  $R(z)$  and  $\tilde{R}(z)$  satisfy the Yang-Baxter equation.
- The following spectral decomposition holds:

$$\frac{(z;q)_\infty}{(-zq;q)_\infty} PR(z) = \bigoplus_{k=0}^{\infty} \left( \prod_{j=1}^k \frac{z+q^j}{1+zq^j} \right) P_k$$

$P_k$  = projector onto the irreducible component with respect to the classical subalgebra  $U_q(B_n) \subset U_q(D_{n+1}^{(2)})$  labeled by  $k$ .

- For  $U_q(C_n^{(1)})$ ,  $V_x \otimes V_y$  consists of 4 irreducible components and a slightly finer characterization is necessary.

# Main result

$\tilde{R}_{\mathfrak{g}}(z) := \tilde{R}(z)$  of the  $q$ -oscillator representation of  $U_q(\mathfrak{g})$

Theorem (K-Okado, arXiv:1311.4258)

$$S^{1,1}(z) = \tilde{R}_{D_{n+1}^{(2)}}(z), \quad S^{1,2}(z) = \tilde{R}_{A_{2n}^{(2)}}(z), \quad S^{2,2}(z) = \tilde{R}_{C_n^{(1)}}(z).$$

Remark: Boundary vector  $\iff$  End shape of the Dynkin diagram of  $\mathfrak{g}$

$$\begin{array}{ccc} \begin{array}{c} 0 \\ \circ \leftarrow \end{array} & \begin{array}{c} n \\ \Rightarrow \circ \end{array} & \begin{array}{c} 0 \\ \circ \leftarrow \end{array} & \begin{array}{c} n \\ \leftarrow \circ \end{array} & \begin{array}{c} 0 \\ \circ \Rightarrow \end{array} & \begin{array}{c} n \\ \leftarrow \circ \end{array} \\ \langle \chi_1(z) | & | \chi_1(\mathbf{1}) \rangle & \langle \chi_1(z) | & | \chi_2(\mathbf{1}) \rangle & \langle \chi_2(z) | & | \chi_2(\mathbf{1}) \rangle \end{array}$$

Proof: Can check the commutativity of  $S^{s,t}(z)$  with  $U_q$ .  $\square$



# Related results and outlook

- Bazhanov-Sergeev (JPA 2006) ( $L = 3D$   $L$ -operator satisfying  $RLLL = LLLR$ )  
 $\text{Tr}(R \cdots R), \text{Tr}(L \cdots L) = \oplus$  ( $R$  for type A sym or anti-sym tensor rep.)
- K-Sergeev (CMP 2013)  
 $\langle \chi_s(z) | L \cdots L | \chi_t(1) \rangle = R$ -matrix for spin representation
- K-Okado (to appear in CMP, [this talk](#))  
 $\langle \chi_s(z) | R \cdots R | \chi_t(1) \rangle = R$ -matrix for  $q$ -oscillator representation
- K-Okado (in preparation)  
Mixture of 3D  $R$  and  $L$  like  $\text{Tr}(RLLRL), \langle \chi_s(z) | LRLLR | \chi_t(1) \rangle$ , etc.  
Commutant  $\Rightarrow$  examples of [generalized quantum group](#)  
(Lusztig, Heckenberger, Batra-Yamane, etc.)