

# Toward 3D integrability from quantum group

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## 2D R-matrix

$$R : V \otimes V \rightarrow V \otimes V \quad \text{i.e. } R \in \text{End}(V^{\otimes 2})$$

$$V = \bigoplus_n \mathbb{C}|n\rangle = \begin{cases} \text{space of 1-particle states} \\ \text{space of local spin states} \end{cases}$$

$$R(|i\rangle \otimes |j\rangle) = \sum_{ab} R_{ij}^{ab} |a\rangle \otimes |b\rangle$$

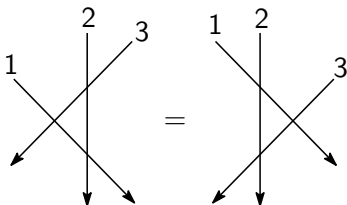
$$R = \begin{array}{c} | \\ \hline \rightarrow \\ \hline \downarrow \end{array} \quad \dots \quad \begin{cases} \text{2 particle scattering amplitude in (1+1)D} \\ \text{local Boltzmann weight of the vertex in 2D} \end{cases}$$

## Yang-Baxter equation

$$R_{12}R_{13}R_{23} = R_{23}R_{13}R_{12} \in \text{End}(V^{\otimes 3}),$$

where  $R_{ij}$  acts on the  $i$ th and  $j$ th components:

$$R_{12} : V \otimes V \otimes V, \quad R_{23} : V \otimes V \otimes V, \quad R_{13} : V \otimes V \otimes V$$



Yang-Baxter equation implies

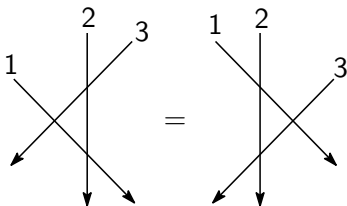
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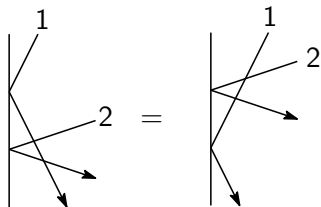
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Key to quantum integrability in 2D

## Integrability in the presence of boundary reflections

$$K = \begin{array}{c} \diagup \\ | \\ \diagdown \end{array} : V \rightarrow V \quad (\text{reflection amplitude matrix})$$

### Reflection equation



$$R_{21}K_2R_{12}K_1 = K_1R_{21}K_2R_{12} \in \text{End}(V^{\otimes 2})$$

$$(K_1 = K \otimes 1, \quad K_2 = 1 \otimes K)$$

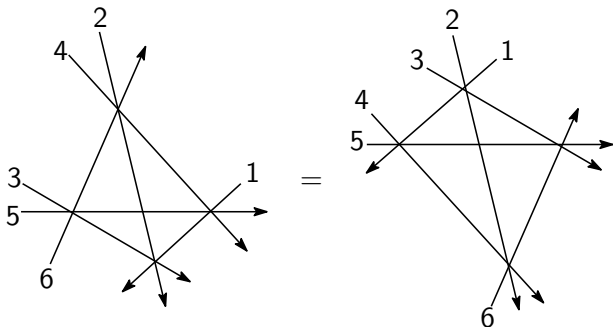
... Factorization condition at the boundary

# What about 3D?

## Tetrahedron equation (A.B. Zamolodchikov (1980))

$$R : V \otimes V \otimes V \rightarrow V \otimes V \otimes V \quad (3D R)$$

$$R_{123}R_{145}R_{246}R_{356} = R_{356}R_{246}R_{145}R_{123}$$



$$R = \begin{cases} 3 \text{ string scattering amplitude in } (2+1)\text{D} \\ \text{local Boltzmann weight of the vertex in 3D} \end{cases}$$

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- One such approach is provided by  $A_q(G)$  ( $G = \text{Lie group}$ ) called **quantized algebra of functions on  $G$** .
- What is  $A_q(G)$  ? It is another class of quantum group studied by Drinfeld (87), Vaksman-Soibelman (89,91), Reshetikhin-Takhtajan-Faddeev (90), Noumi-Yamada-Mimachi (92), Kashiwara (93), Geiss-Leclerc-Schröer (2011-) etc.

- **Simplest example:**

$$\text{Recall } \text{SL}_2 = \left\{ \begin{pmatrix} t_{11} & t_{12} \\ t_{21} & t_{22} \end{pmatrix} \mid [t_{ij}, t_{kl}] = 0, t_{11}t_{22} - t_{12}t_{21} = 1 \right\}.$$

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$A_q(\text{SL}_2)$  is generated by  $t_{11}, t_{12}, t_{21}, t_{22}$  with the relations

$$t_{11}t_{21} = qt_{21}t_{11}, \quad t_{12}t_{22} = qt_{22}t_{12}, \quad t_{11}t_{12} = qt_{12}t_{11}, \quad t_{21}t_{22} = qt_{22}t_{21}, \\ [t_{12}, t_{21}] = 0, \quad [t_{11}, t_{22}] = (q - q^{-1})t_{21}t_{12}, \quad t_{11}t_{22} - qt_{12}t_{21} = 1.$$

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- **Fock representation**  $\pi_1 : \mathbf{A}_q(\text{SL}_2) \rightarrow \text{End}(\mathbf{F}_q)$

$F_q = \bigoplus_{m \geq 0} \mathbb{C}|m\rangle$  :  $q$ -oscillator Fock space

$$\pi_1 : \begin{pmatrix} t_{11} & t_{12} \\ t_{21} & t_{22} \end{pmatrix} \longmapsto \begin{pmatrix} \mathbf{a}^- & \mathbf{k} \\ -q\mathbf{k} & \mathbf{a}^+ \end{pmatrix}$$

$$\mathbf{k}|m\rangle = q^m|m\rangle, \quad \mathbf{a}^+|m\rangle = |m+1\rangle, \quad \mathbf{a}^-|m\rangle = (1 - q^{2m})|m-1\rangle.$$



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 $s_{i_1} \cdots s_{i_r} \in W(G)$  is realized as the tensor product  $\pi_{i_1} \otimes \cdots \otimes \pi_{i_r}$ .

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### Crucial Corollary

If  $s_{i_1} \cdots s_{i_r} = s_{j_1} \cdots s_{j_r}$  are 2 different reduced expressions, then

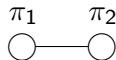
$$\pi_{i_1} \otimes \cdots \otimes \pi_{i_r} \simeq \pi_{j_1} \otimes \cdots \otimes \pi_{j_r}.$$

$\implies$  Exists the unique map  $\Phi$  called **intertwiner** such that

$$(\pi_{i_1} \otimes \cdots \otimes \pi_{i_r}) \circ \Phi = \Phi \circ (\pi_{j_1} \otimes \cdots \otimes \pi_{j_r})$$

## Example

$$A_q(\mathrm{SL}_3) = \langle t_{ij} \rangle_{i,j=1}^3$$

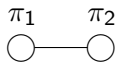


Fock representations

$$\begin{pmatrix} t_{11} & t_{12} & t_{13} \\ t_{21} & t_{22} & t_{23} \\ t_{31} & t_{32} & t_{33} \end{pmatrix} \mapsto \begin{pmatrix} \mathbf{a}^- & \mathbf{k} & 0 \\ -q\mathbf{k} & \mathbf{a}^+ & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{matrix} \pi_1 & & \pi_2 \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & \mathbf{a}^- & \mathbf{k} \\ 0 & -q\mathbf{k} & \mathbf{a}^+ \end{pmatrix} \end{matrix}$$

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$$W(\mathrm{SL}_3) = \langle s_1, s_2 \rangle. \quad s_2 s_1 s_2 = s_1 s_2 s_1 \text{ (Coxeter relation)}$$

$$\implies \pi_2 \otimes \pi_1 \otimes \pi_2 \simeq \pi_1 \otimes \pi_2 \otimes \pi_1 \text{ as representations on } (F_q)^{\otimes 3}$$

Exists the intertwiner  $\Phi : (F_q)^{\otimes 3} \rightarrow (F_q)^{\otimes 3}$  such that

$$(\pi_2 \otimes \pi_1 \otimes \pi_2) \circ \Phi = \Phi \circ (\pi_1 \otimes \pi_2 \otimes \pi_1).$$

## Explicit form

$$R := \Phi P_{13}, \quad P_{13}(x \otimes y \otimes z) = z \otimes y \otimes x,$$
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$$R_{ijk}^{abc} = \delta_{i+j, a+b} \delta_{j+k, b+c} \sum_{\lambda, \mu \geq 0, \lambda + \mu = b} (-1)^\lambda q^{i(c-j) + (k+1)\lambda + \mu(\mu-k)}$$
$$\times \begin{bmatrix} i, j, c + \mu \\ \mu, \lambda, i - \mu, j - \lambda, c \end{bmatrix}.$$

$$(q)_m = \prod_{j=1}^m (1 - q^j), \quad \begin{bmatrix} i_1, \dots, i_r \\ j_1, \dots, j_s \end{bmatrix} = \frac{\prod_{m=1}^r (q^2)_{i_m}}{\prod_{m=1}^s (q^2)_{j_m}}$$

## Theorem (Kapranov-Voevodsky 1994)

$R$  satisfies the tetrahedron eq.  $R_{123}R_{145}R_{246}R_{356} = R_{356}R_{246}R_{145}R_{123}$ .

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**Essence of proof.** Consider  $A_q(\mathrm{SL}_4)$  and  $W(\mathrm{SL}_4) = \langle s_1, s_2, s_3 \rangle$ .

$s_2s_1s_2 = s_1s_2s_1$ ,  $s_3s_2s_3 = s_2s_3s_2$ ,  $s_1s_2s_3s_1s_2s_1 = s_3s_2s_3s_1s_2s_3$  (longest el.)

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The intertwiner for the last one is constructed in 2 different ways as

<u>123121</u>	$\Phi_{456}$	<u>123121</u>	$P_{34}$
<u>123212</u>	$\Phi_{234}$	<u>121321</u>	$\Phi_{123}$
<u>132312</u>	$P_{12}P_{45}$	<u>212321</u>	$\Phi_{345}$
<u>312132</u>	$\Phi_{234}$	<u>213231</u>	$P_{23}P_{56}$
<u>321232</u>	$\Phi_{456}$	<u>231213</u>	$\Phi_{345}$
<u>321323</u>	$P_{34}$	<u>232123</u>	$\Phi_{123}$
<u>323123</u>		<u>323123</u>	

Equate the 2 sides, substitute  $\Phi_{ijk} = R_{ijk}P_{ik}$  and cancel  $P_{ij}$ 's.  $\square$

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## Recent developments

K-Sergeev [CMP in press], K-Okado [[JPA \(2012\)](#) & arXiv:1210.6430],  
K-Okado-Yamada [arXiv:1302.6298]

- 1 Type SO, Sp,  $F_4$  cases
- 2 Explicit intertwiner  $K$  for the quartic Coxeter relation.
- 3 **3D analogue of reflection equation** involving  $R$  and  $K$ .
- 4 Reduction of the 3D  $R$  to infinitely many 2D  $R$ 's.



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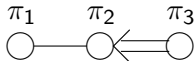
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- 5 Alternative characterization by Poincaré-Birkhoff-Witt basis of  $U_q^+(g)$ .

$A_q(\mathrm{Sp}_6) = \langle t_{jk} \rangle_{j,k=1}^6$  : [Reshetikhin-Takhtajan-Faddeev 1990]



Fock representations  $\pi_i(t_{jk})$  are given by

$$\pi_1 : \begin{pmatrix} \mathbf{a}^- & \mathbf{k} & 0 & 0 & 0 & 0 \\ -q\mathbf{k} & \mathbf{a}^+ & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & \mathbf{a}^- & -\mathbf{k} \\ 0 & 0 & 0 & 0 & q\mathbf{k} & \mathbf{a}^+ \end{pmatrix}, \quad \pi_2 : \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & \mathbf{a}^- & \mathbf{k} & 0 & 0 & 0 \\ 0 & -q\mathbf{k} & \mathbf{a}^+ & 0 & 0 & 0 \\ 0 & 0 & 0 & \mathbf{a}^- & -\mathbf{k} & 0 \\ 0 & 0 & 0 & q\mathbf{k} & \mathbf{a}^+ & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\pi_3 : \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & \mathbf{A}^- & \mathbf{K} & 0 & 0 \\ 0 & 0 & -q^2\mathbf{K} & \mathbf{A}^+ & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad \langle \mathbf{A}^\pm, \mathbf{K} \rangle = \langle \mathbf{a}^\pm, \mathbf{k} \rangle|_{q \rightarrow q^2}.$$

$$W(\mathrm{Sp}_6) = \langle s_1, s_2, s_3 \rangle$$

$$s_1 s_3 = s_3 s_1, \quad s_1 s_2 s_1 = s_2 s_1 s_2, \quad s_2 s_3 s_2 s_3 = s_3 s_2 s_3 s_2.$$

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Write  $\pi_{i_1, \dots, i_r}$  to mean  $\pi_{i_1} \otimes \cdots \otimes \pi_{i_r}$  to save space.

Equivalence	Intertwiner	
$\pi_{121} \simeq \pi_{212},$	$\Phi = RP_{13}$	(same as $\mathrm{SL}_3$ case),
$\pi_{2323} \simeq \pi_{3232},$	$\Psi = KP_{14}P_{23}$	(New object).

$$K \in \mathrm{End}(F_{q^2} \otimes F_q \otimes F_{q^2} \otimes F_q), \quad R \in \mathrm{End}((F_q)^{\otimes 3}).$$

## Matrix elements

$$K|i, j, k, l\rangle = \sum_{a, b, c, d} K_{ijkl}^{abcd} |a, b, c, d\rangle \quad (|i, j, k, l\rangle = |i\rangle \otimes |j\rangle \otimes |k\rangle \otimes |l\rangle)$$

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### Theorem (Explicit form)

$$K_{ijkl}^{abcd} = \frac{(q^4)_i}{(q^4)_a} \sum_{\alpha, \beta, \gamma} \frac{(-1)^{\alpha+\gamma}}{(q^4)_{c-\beta}} q^{\phi_1} K_{a, b+c-\alpha-\beta-\gamma, 0, c+d-\alpha-\beta-\gamma}^{i, j+k-\alpha-\beta-\gamma, 0, k+l-\alpha-\beta-\gamma}$$

$$\times \left[ \begin{array}{c} k, c-\beta, j+k-\alpha-\beta, k+l-\alpha-\beta \\ \alpha, \beta, \gamma, b-\alpha, d-\alpha, k-\alpha-\beta, c-\beta-\gamma \end{array} \right],$$

$$\phi_1 = \alpha(\alpha+2c-2\beta-1) + (2\beta-c)(b+c+d) + \gamma(\gamma-1) - k(j+k+l).$$

$$K_{i,j,0,l}^{a,b,0,d} = \sum_{\lambda} (-1)^{m+\lambda} \frac{(q^4)_{a+\lambda}}{(q^4)_a} q^{\phi_2} \left[ \begin{array}{c} j, l \\ \lambda, l-\lambda, b-\lambda, j-b+\lambda \end{array} \right],$$

$$\phi_2 = (a+i+1)(b+l-2\lambda) + b-l.$$

## Theorem (JPA 45 (2012) 465206)

$R$  and  $K$  yield the first nontrivial solution to the **3D reflection equation** proposed by Isaev-Kulish in 1997:

$$R_{489}K_{3579}R_{269}R_{258}K_{1678}K_{1234}R_{654} = R_{654}K_{1234}K_{1678}R_{258}R_{269}K_{3579}R_{489}.$$

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- “Factorization” of 3 string scattering with boundary reflections.  
 $R$  : Scattering amplitude of 3 strings.  
 $K$ : Reflection amplitude.
- Diagrams (corresponding geometric objects)  
World sheet of reflecting string = open book (spine=reflection line)  
Reflecting boundary plane = desk surface  
 $K$  = crossing of the spines of 2 books

## A natural geometric configuration for 3D reflection equation

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is the “3 open books placed on a desk”.

Elementary geometry exercise: Draw the projection onto the desk surface.

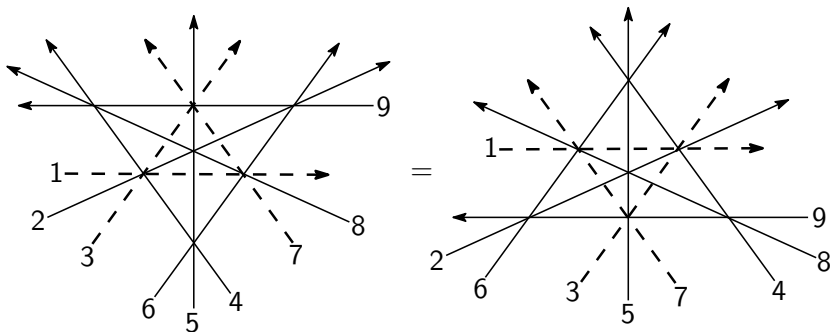
## A natural geometric configuration for 3D reflection equation

$$R_{489}K_{3579}R_{269}R_{258}K_{1678}K_{1234}R_{654} = R_{654}K_{1234}K_{1678}R_{258}R_{269}K_{3579}R_{489}.$$

is the “3 open books placed on a desk”.

Elementary geometry exercise: Draw the projection onto the desk surface.

Answer: (dotted lines 1,3,7 correspond to the spines of the 3 books)



## Remarks

- ① Another solution to the 3D reflection eq. is available from SO case.
- ② Higher rank cases are reducible to rank 2 cases.
- ③ Exists decent classical and combinatorial ( $q = 0$ ) analogue.  
(Elements of  $R$  and  $K$  are actually polynomials(!) in  $q$ .)