

Generalized hydrodynamics in box-ball system

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(Joint with Grégoire Misguich and Vincent Pasquier)

Nordita, 12 March 2020

Q: Are there 1D deterministic cellular automata with the following features?

Commuting time evolutions, Family of conserved quantities,
Solitons obeying factorized scattering,
Equation of motion = discrete soliton equation,
Yang-Baxter equation, Bethe ansatz structure.

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provide **exact quasi-particle picture** to Bethe's formula for $\#\{\text{string solutions}\}$,
allow interpretation of **corner transfer matrices** as **tau functions**,
identify $2\pi i / \log(\text{Bethe eigenvalue}) = \text{Poincaré cycle}$, etc.

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Plan of the talk

- Basic features and integrability of BBS (Review)
- Recent progress on statistical aspects of **randomized** BBS in out of equilibrium
 1. Limit shape problem of soliton distributions
by thermodynamic Bethe ansatz (TBA)
 2. Density plateaux emerging from domain wall initial conditions
by generalized hydrodynamics (GHD)

n -color Box-ball system (BBS)

$n = 3$ example.

... 00000000**33211**00000000000000000000000000000000 ...
... 0000000000000000**33211**0000000000000000000000000000 ...
... 000000000000000000000000**33211**0000000000000000000000 ...

0 =empty box, 1, 2, 3 = balls with colors

• time evolution = (move 1) · (move 2) · (move 3)

(move i) · Pick the leftmost ball with color i and move it to the nearest right empty box.

• Do the same for the other color i balls.

• soliton=consecutive balls $i_1 \dots i_a$ with color $i_1 \geq \dots \geq i_a \geq 1$.

• velocity=amplitude.

Collision of 3 solitons

... 00**321**00**31**0000**2**0000000000000000 ...
... 00000**321**0**31**000**2**0000000000000000 ...
... 000000000**32031102**0000000000000000 ...
... 00000000000**32003121**000000000000 ...
... 0000000000000**320010321**00000000 ...
... 000000000000000**3201000321**00000 ...
... 00000000000000000**3021000032100** ...

... 00**321**0000**31**00**2**0000000000000000 ...
... 00000**321**000**3102**0000000000000000 ...
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 . . . 000000000000000000**3000210032100** . . .

Yang-Baxter relation is valid.

(Solitons in final state are independent of the order of collisions)

Double (classical and quantum) origin of integrability

(1) **Ultra-Discretization (UD)** of soliton equations

Double (classical and quantum) origin of integrability

(1) Ultra-Discretization (UD) of soliton equations

- Key formula

$$\lim_{\varepsilon \rightarrow +0} \varepsilon \log \left(\exp\left(\frac{a}{\varepsilon}\right) + \exp\left(\frac{b}{\varepsilon}\right) \right) = \mathbf{max}(a, b)$$

$$\lim_{\varepsilon \rightarrow +0} \varepsilon \log \left(\exp\left(\frac{a}{\varepsilon}\right) \times \exp\left(\frac{b}{\varepsilon}\right) \right) = a + b$$

$$(+, \times) \longrightarrow (\mathbf{max}, +)$$

keeps distributive law:

$$AB + AC = A(B + C) \rightarrow \max(a + b, a + c) = a + \max(b, c)$$

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- UD of a discrete KdV equation gives an evolution equation of the $n = 1$ BBS (1996).

(2) Solvable lattice model at “ **Temperature 0** ”

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Time evolution pattern

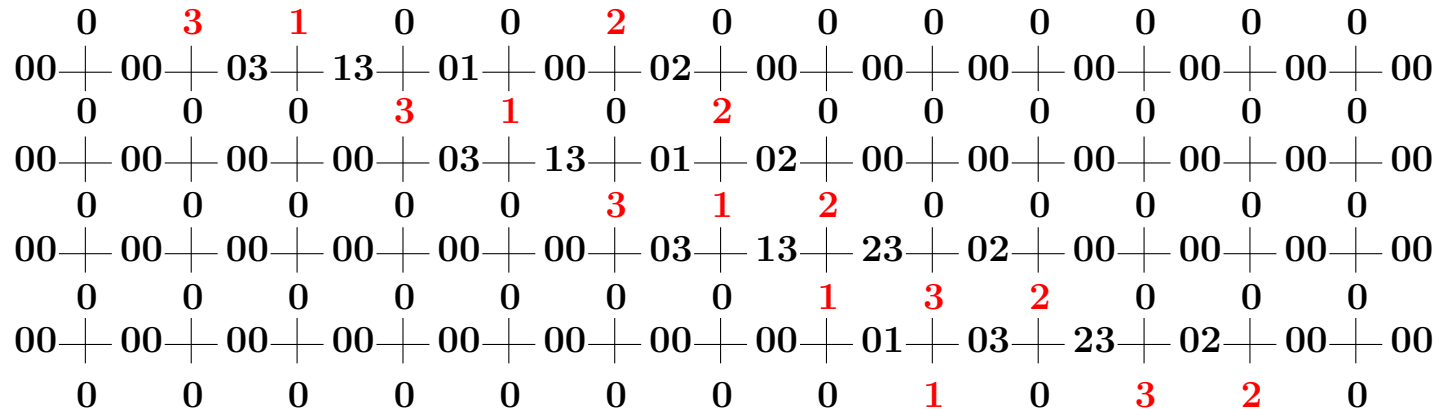
... **031002**0000000 ...
... 000**3102**0000000 ...
... 00000**312**000000 ...
... 0000000**132**000 ...
... 00000000**10320** ...

(2) Solvable lattice model at “Temperature 0”

Time evolution pattern

... **0310020000000** ...
 ... **0003102000000** ...
 ... **0000031200000** ...
 ... **0000000132000** ...
 ... **0000000010320** ...

emerges from a configuration of a 2D lattice model in statistical mechanics



by forgetting the hidden variables on the horizontal edges.

- n -color box-ball system

= 2D solvable vertex model associated with quantum group

$$U_q(\widehat{\mathfrak{sl}}_{n+1}) \text{ at } q = 0 \quad (q \sim \text{temperature})$$

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- Row transfer matrix at $q = 0$

= deterministic map (defined by the unique configuration surviving at $q = 0$)

= time evolution of box-ball system (forming a commuting family $T_1, T_2, \dots, T_\infty$)

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= deterministic map (defined by the unique configuration surviving at $q = 0$)

= time evolution of box-ball system (forming a commuting family $T_1, T_2, \dots, T_\infty$)

- Proper formulation uses *crystal base theory* (theory of quantum group at $q = 0$).

Classical
integrable system

Nonlinear waves
Soliton equations

UD
→

Ultradiscrete
integrable system

Cellular automata
Box-ball systems

$0 \leftarrow q$
←

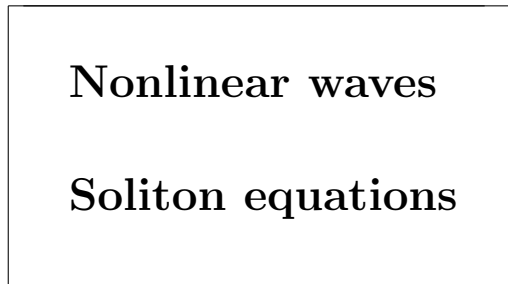
Quantum
integrable system

Lattice statistical models
Solvable vertex models

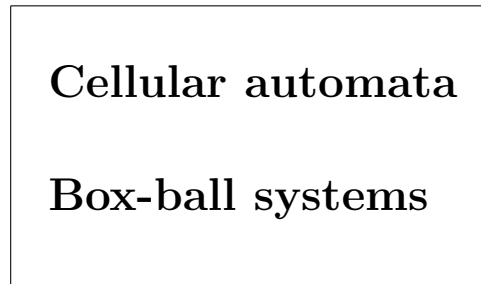
Classical
integrable system

Ultradiscrete
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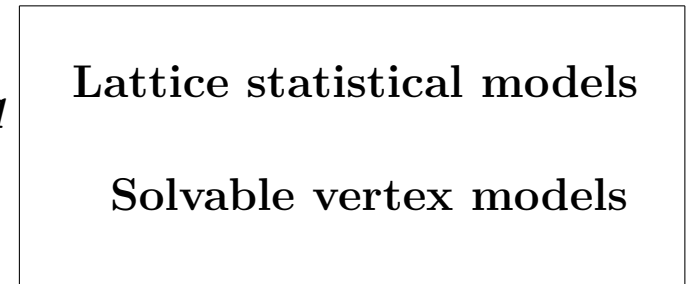
Quantum
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UD
→



$0 \leftarrow q$
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Inverse scattering method

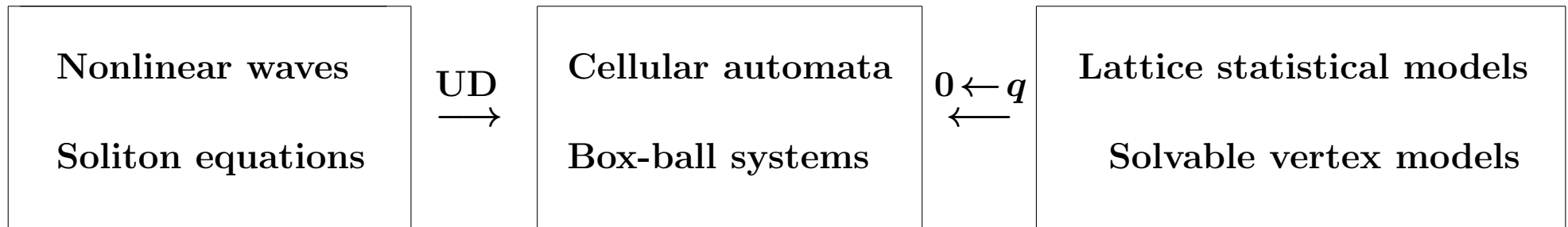
KKR bijection

Bethe ansatz

Classical
integrable system

Ultradiscrete
integrable system

Quantum
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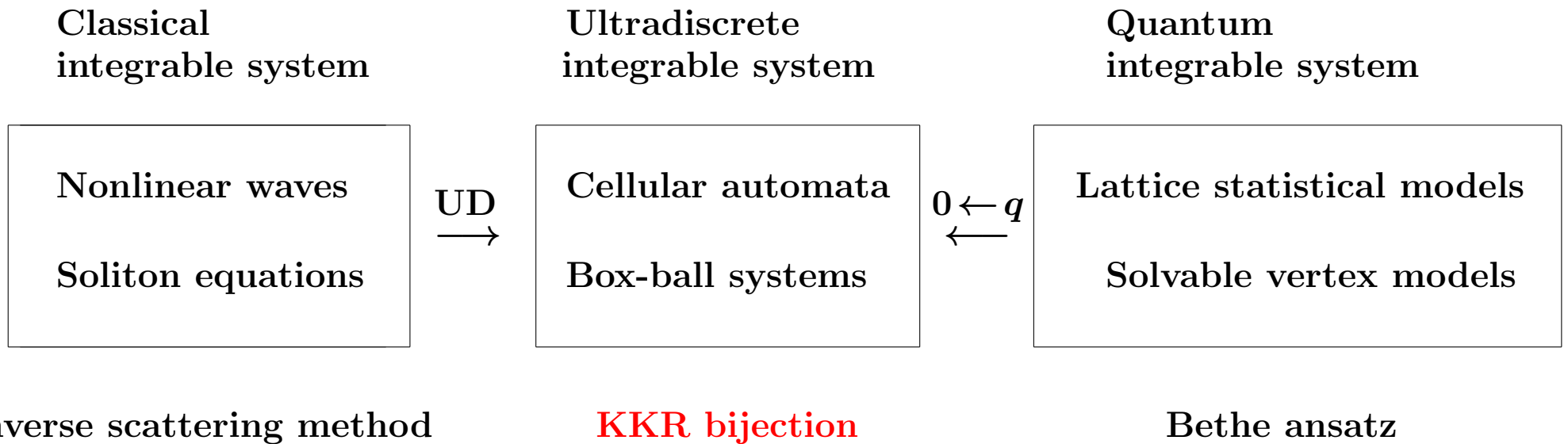


Inverse scattering method

KKR bijection

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- **Kerov-Kirillov-Reshetikhin (KKR) bijection** (1986) asserts “formal completeness” of the hypothetical string solutions to the Bethe equation at combinatorial level.



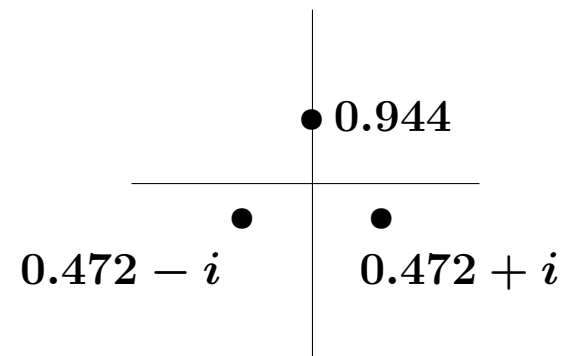
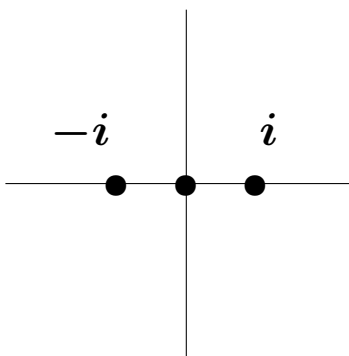
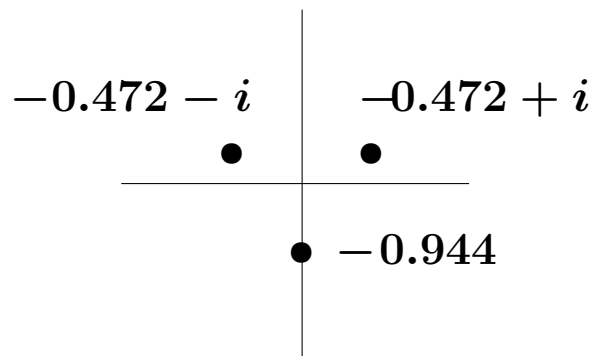
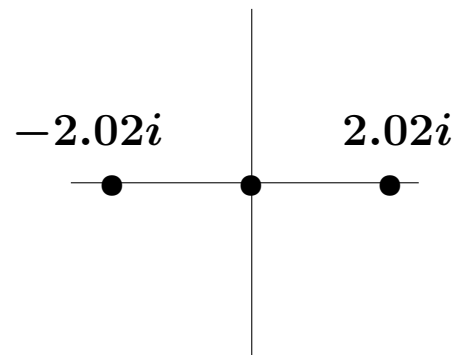
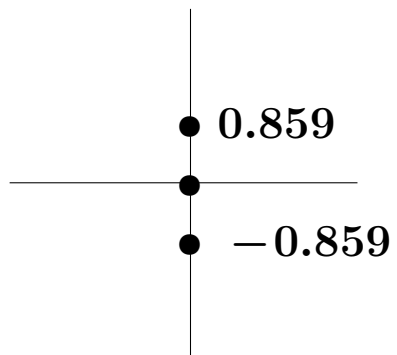
- **Kerov-Kirillov-Reshetikhin (KKR) bijection** (1986) asserts “formal completeness” of the hypothetical string solutions to the Bethe equation at combinatorial level.
- Its remarkable connection to BBS was discovered in 2002.

- Example. Spin $\frac{1}{2}$ periodic Heisenberg chain

$$H = \sum_{k=1}^L (\sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \sigma_k^z \sigma_{k+1}^z - 1)$$

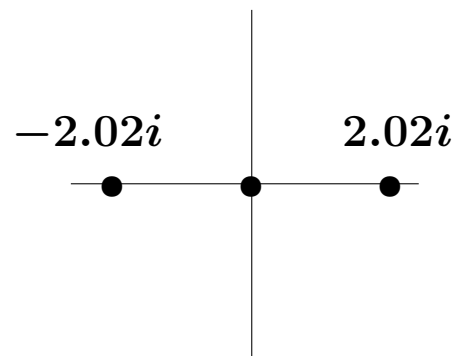
For $L = 6$ sites in 3 down-spin sector, the Bethe equation reads

$$\begin{aligned} \left(\frac{u_1 + i}{u_1 - i} \right)^6 &= \frac{(u_1 - u_2 + 2i)(u_1 - u_3 + 2i)}{(u_1 - u_2 - 2i)(u_1 - u_3 - 2i)}, \\ \left(\frac{u_2 + i}{u_2 - i} \right)^6 &= \frac{(u_2 - u_1 + 2i)(u_2 - u_3 + 2i)}{(u_2 - u_1 - 2i)(u_2 - u_3 - 2i)}, \\ \left(\frac{u_3 + i}{u_3 - i} \right)^6 &= \frac{(u_3 - u_1 + 2i)(u_3 - u_2 + 2i)}{(u_3 - u_1 - 2i)(u_3 - u_2 - 2i)}. \end{aligned}$$



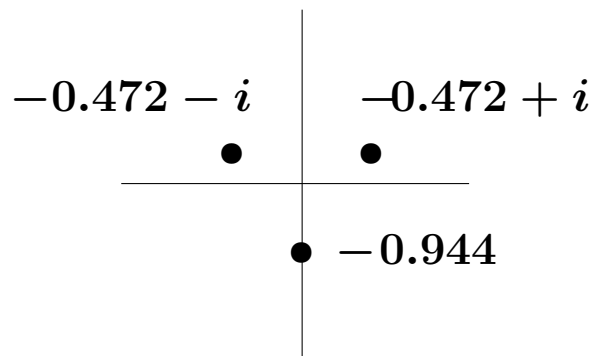


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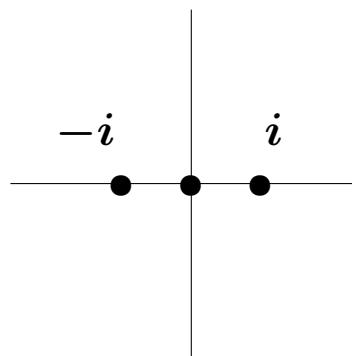


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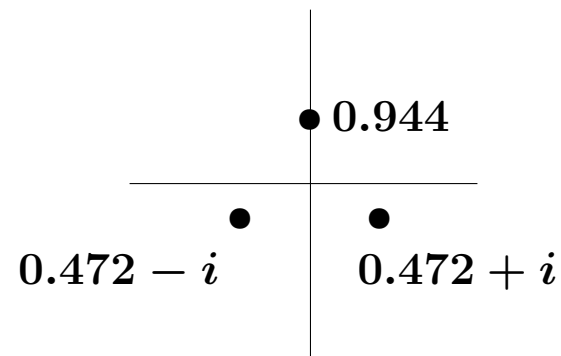
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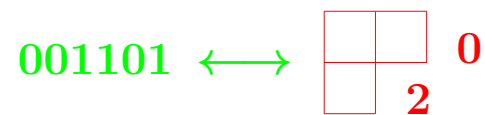
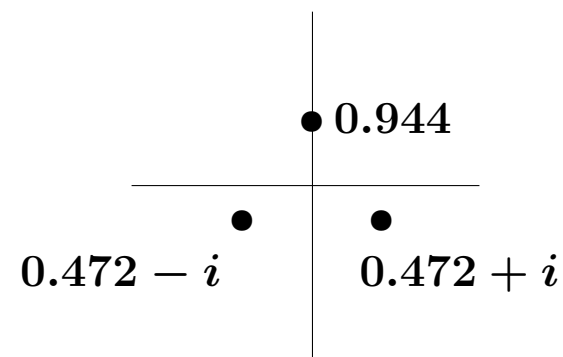
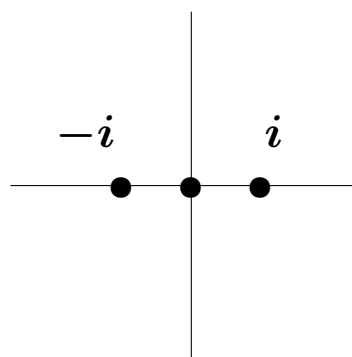
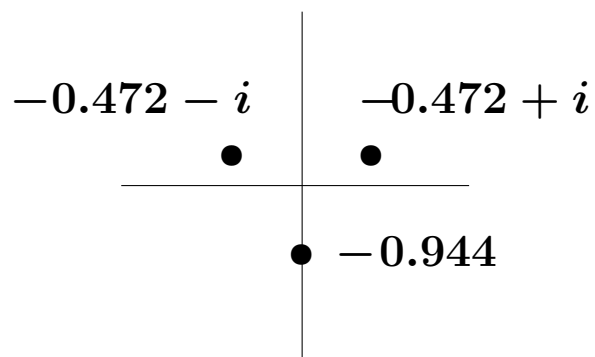
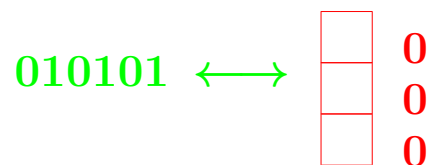
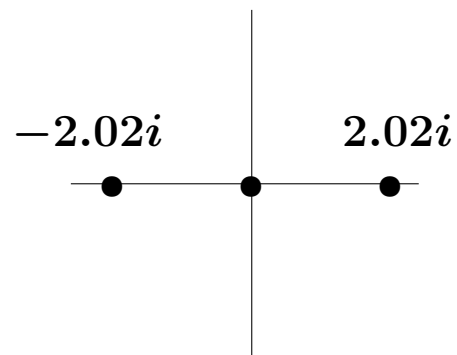
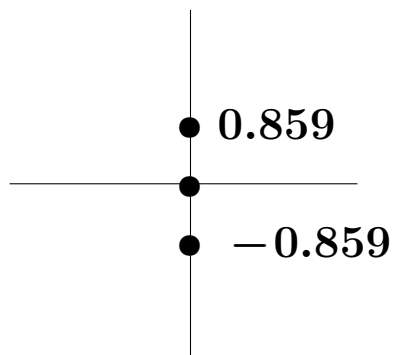
 0
0



 0
1



 0
2



KKR bijection for sl_{n+1}

$$\{\text{highest states}\} \xleftrightarrow{1:1} \{\text{rigged configurations}\}$$

$n = 3$ example

$$000011102113220000 \longleftrightarrow \begin{array}{c} \mu^{(1)} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} 0 \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} 2 \\ \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline & \\ \hline \end{array} 3 \end{array} \quad \begin{array}{c} \mu^{(2)} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} 1 \\ \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \end{array} 0 \end{array} \quad \begin{array}{c} \mu^{(3)} \\ \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \end{array} 0 \end{array}$$

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“Bethe vectors”

Solitons

“Bethe roots”

Strings (bound states of magnons)

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Strings (bound states of magnons)

- highest states = $i_1 i_2 \dots i_L$ ($0 \leq i_k \leq n$) satisfying the highest condition:

$$\#_0\{i_1, \dots, i_k\} \geq \#_1\{i_1, \dots, i_k\} \geq \dots \geq \#_n\{i_1, \dots, i_k\} \quad (\forall k)$$

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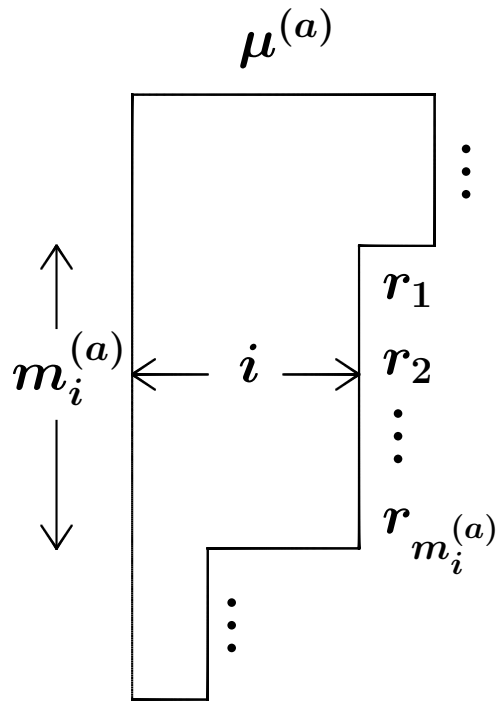
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- rigged configuration: $((\mu^{(1)}, r^{(1)}), \dots, (\mu^{(n)}, r^{(n)}))$

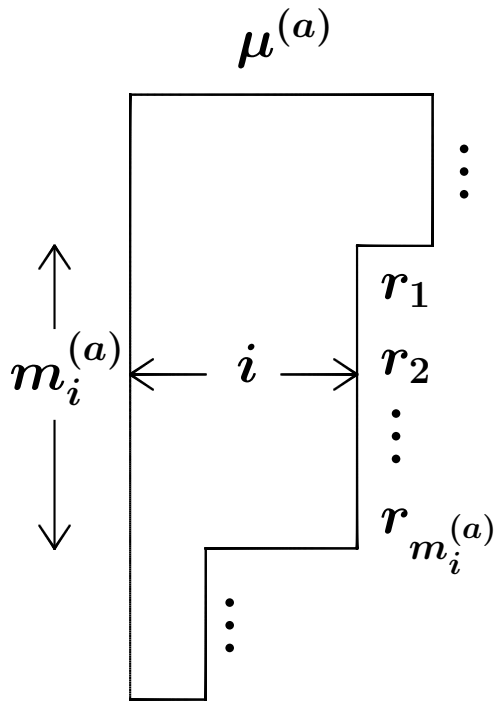
$$\left. \begin{array}{l} \mu^{(1)}, \dots, \mu^{(n)} : \text{configuration} = n\text{-tuple of Young diagrams} \\ r^{(1)}, \dots, r^{(n)} : \text{rigging} = \text{integers assigned to each row} \end{array} \right\} + \text{selection rule (next page)}$$



$$m_i^{(a)} = \#(\text{length } i \text{ rows in } \mu^{(a)}), \quad \sum_{i \geq 1} i m_i^{(a)} = |\mu^{(a)}|$$

$$0 \leq r_1 \leq \dots \leq r_{m_i^{(a)}} \leq h_i^{(a)}$$

... “Fermionic” selection rule



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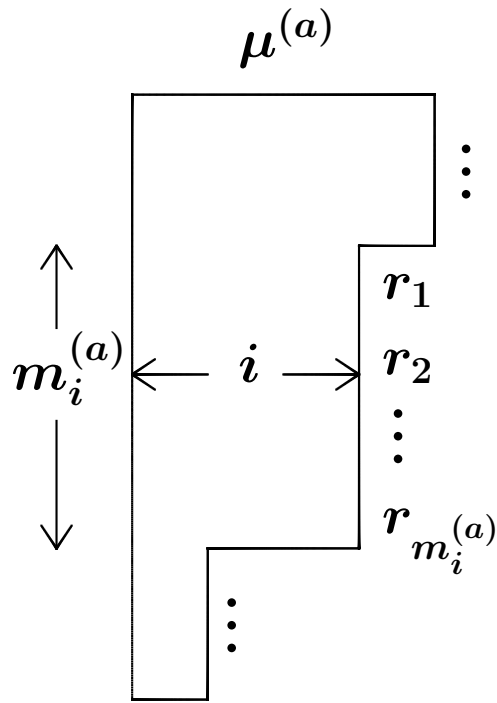
... “Fermionic” selection rule

$$h_i^{(a)} = L \delta_{a,1} - \sum_{b=1}^n C_{ab} \sum_{j \geq 1} \min(i, j) m_j^{(b)}$$

... vacancy for holes

$$C_{ab} = 2\delta_{ab} - \delta_{a,b+1} - \delta_{a,b-1}$$

(C_{ab}) ... Cartan matrix of sl_{n+1}



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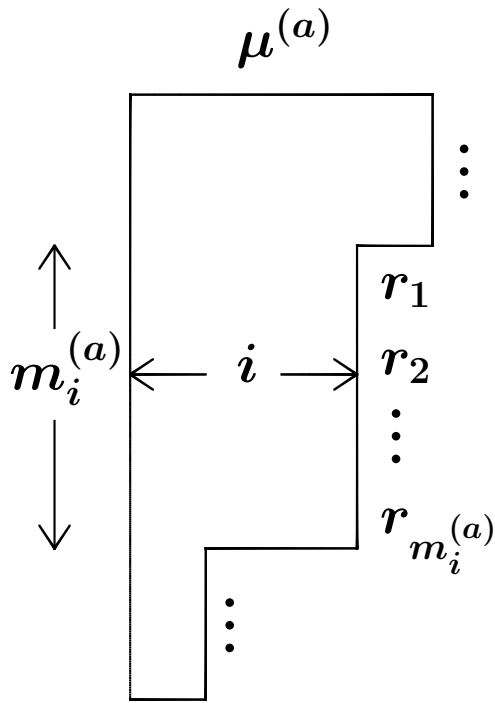
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$$\# \text{ of rigging choices for a fixed configuration} = \prod_{a=1}^n \prod_{i \geq 1} \binom{h_i^{(a)} + m_i^{(a)}}{m_i^{(a)}}$$



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$$\# \text{ of rigging choices for a fixed configuration} = \prod_{a=1}^n \prod_{i \geq 1} \binom{h_i^{(a)} + m_i^{(a)}}{m_i^{(a)}}$$

This is an sl_{n+1} generalization of Bethe’s formula for # of string solutions (1931).

hat also eine Möglichkeit weniger, die des letzten Komplexes von n Wellen, λ_{q_n} , kann schließlich nur noch

$$Q'_n - (q_n - 1) = Q_n + 1$$

verschiedene Werte annehmen, wo

$$Q_n(N, q_1 q_2 \dots) = N - 2 \sum_{p < n} p q_p - 2 \sum_{p \geq n} n q_p. \quad (44)$$

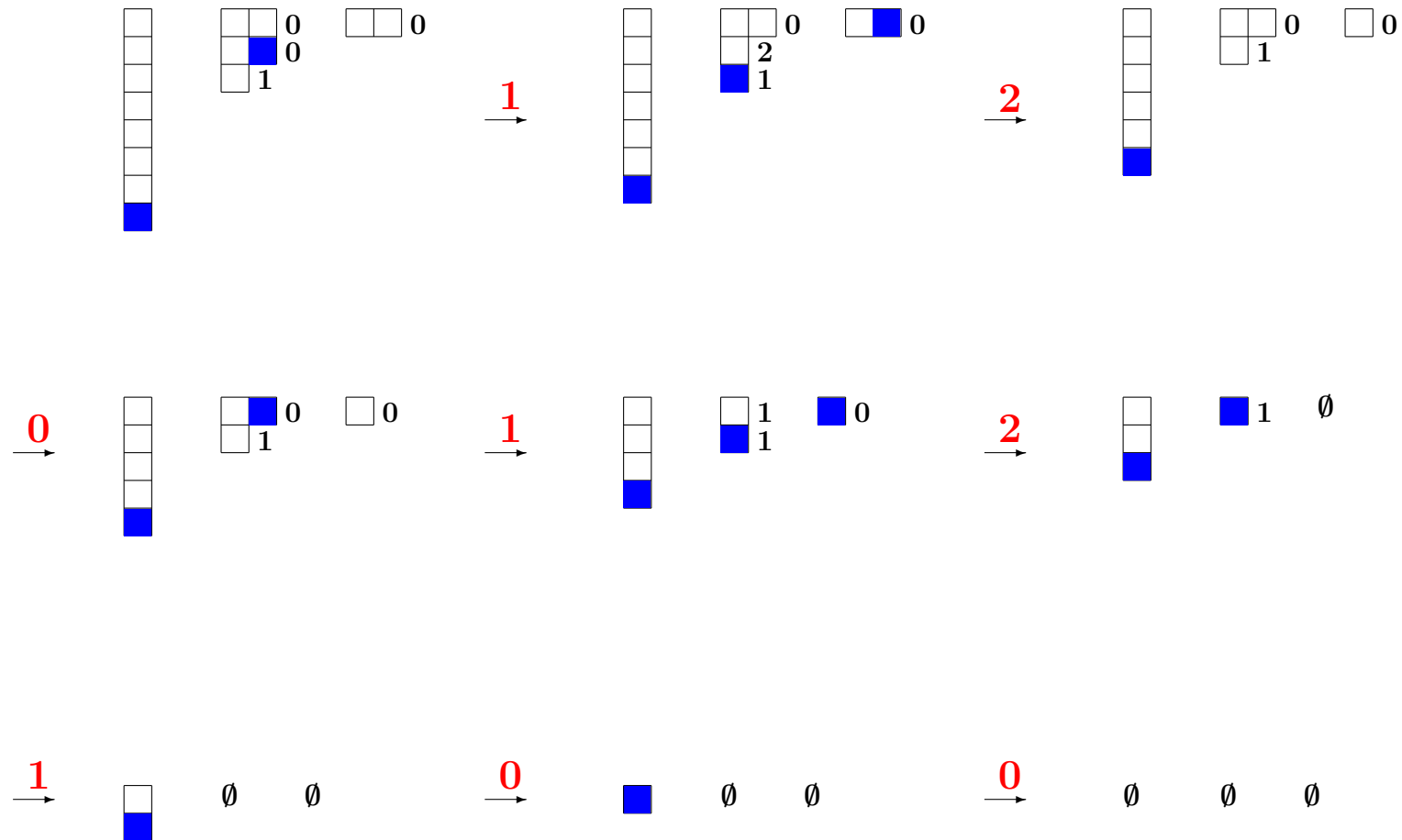
Schließlich ist zu berücksichtigen, daß Vertauschung der λ der verschiedenen Wellenkomplexe mit gleicher Anzahl n von Wellen nicht zu neuen Lösungen führt. Die gesamte Zahl unserer Lösungen wird somit

$$z(N, q_1 q_2 \dots) = \prod_{n=1}^{\infty} \frac{(Q_n + q_n) \dots (Q_n + 1)}{q_n!} = \prod_n \binom{Q_n + q_n}{q_n}, \quad (45)$$

wo die Q_n durch (44) definiert sind.

8. Wir werden nun nachweisen, daß wir die richtige Anzahl Lösungen erhalten haben.

Example of KKR algorithm



Top left rigged configuration $\xrightarrow{\text{KKR}}$ **00121021**

Quiz: What are the soliton contents or at least their amplitude?

11011110011100011100000000000000000000000000000000

21022100122001221100000000000000000000000000000000

Randomized box-ball system

$$\begin{array}{ccc} \text{BBS state} & & \text{Soliton content} \\ i_1 i_2 \dots i_L 00000 \dots & \xrightarrow{\text{KKR}} & (\mu^{(1)}, \dots, \mu^{(n)}) \end{array}$$

Randomize $i_1 i_2 \dots i_L$ by introducing the i.i.d. measure on the set of states:

$$\{0, 1, \dots, n\} \rightarrow (0, 1); \quad i \mapsto p_i \quad (p_0 + \dots + p_n = 1).$$

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Limit shape Problem

Determine the **scaling form** of the most probable $(\mu^{(1)}, \dots, \mu^{(n)})$ when $L \rightarrow \infty$.

Randomized box-ball system

$$\begin{array}{ccc}
 \text{BBS state} & & \text{Soliton content} \\
 i_1 i_2 \dots i_L 00000 \dots & \xrightarrow{\text{KKR}} & (\mu^{(1)}, \dots, \mu^{(n)})
 \end{array}$$

Randomize $i_1 i_2 \dots i_L$ by introducing the i.i.d. measure on the set of states:

$$\{0, 1, \dots, n\} \rightarrow (0, 1); \quad i \mapsto p_i \quad (p_0 + \dots + p_n = 1).$$

Limit shape Problem

Determine the **scaling form** of the most probable $(\mu^{(1)}, \dots, \mu^{(n)})$ when $L \rightarrow \infty$.

This can be done by **TBA** minimizing the free energy F associated with

$$\text{Prob}(\mu^{(1)}, \dots, \mu^{(n)}) \simeq Z_L^{-1} e^{-\beta_1 |\mu^{(1)}| - \dots - \beta_n |\mu^{(n)}|} \prod_{a=1}^n \prod_{i \geq 1} \binom{h_i^{(a)} + m_i^{(a)}}{m_i^{(a)}},$$

$e^{\beta_a} := p_{a-1}/p_a, \quad Z_L = \text{normalization const (partition function)}.$

Introduce the scaled string and hole densities $\rho_i^{(a)}, \sigma_i^{(a)}$ by

$$m_i^{(a)} \simeq L \rho_i^{(a)}, \quad h_i^{(a)} \simeq L \sigma_i^{(a)}, \quad \sigma_i^{(a)} = \delta_{a,1} - \sum_{b=1}^n C_{ab} \sum_{j \geq 1} \min(i, j) \rho_j^{(b)},$$

Assume $p_0 \geq \dots \geq p_n$ in the rest.

The condition $\frac{\delta F}{\delta \rho_i^{(a)}} = 0$ leads to the **TBA equation**

$$-i\beta_a + \log(1 + Y_i^{(a)}) = \sum_{b=1}^n C_{ab} \sum_{j \geq 1} \min(i, j) \log(1 + (Y_j^{(b)})^{-1})$$

in terms of $Y_i^{(a)} = \frac{\sigma_i^{(a)}}{\rho_i^{(a)}}$ with the boundary condition $\lim_{i \rightarrow \infty} \frac{1 + Y_{i+1}^{(a)}}{1 + Y_i^{(a)}} = e^{\beta_a}$.

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This is equivalent to the (constant) **Y-system**

$$\left(Y_i^{(a)}\right)^2 = \frac{(1 + Y_{i-1}^{(a)})(1 + Y_{i+1}^{(a)})}{(1 + (Y_i^{(a-1)})^{-1})(1 + (Y_i^{(a+1)})^{-1})}$$

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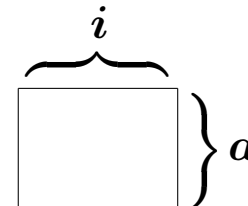
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Solution (Rare case for which an exact formula can be given)

$$Y_i^{(a)} = \frac{Q_{i-1}^{(a)} Q_{i+1}^{(a)}}{Q_i^{(a-1)} Q_i^{(a+1)}}$$

$$Q_i^{(a)} = Q_i^{(a)}(p_0, \dots, p_n) = \frac{\det(p_k^{\lambda_j + n - j})_{j,k=0}^n}{\det(p_k^{n-j})_{j,k=0}^n} \quad \left((\lambda_0, \dots, \lambda_n) = \left(\overbrace{i \dots i}^a, \overbrace{0, \dots, 0}^{n+1-a} \right) \right)$$

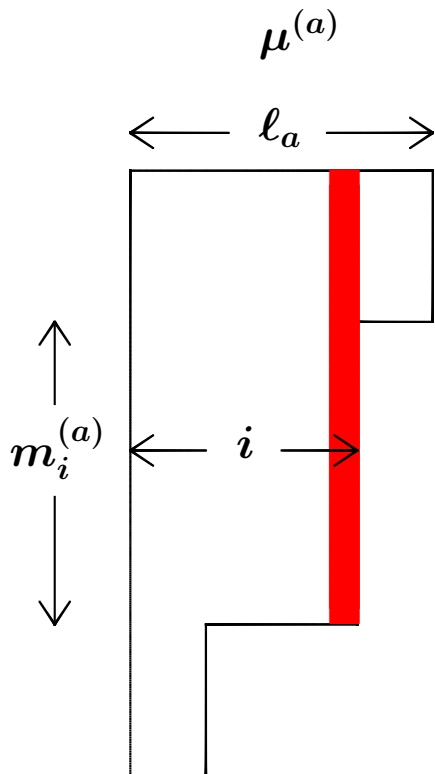
= **Schur function** for $a \times i$ rectangular Young diagram



Result. The limit shape of soliton content $(\mu^{(1)}, \dots, \mu^{(n)})$ is given by

$$\eta_i^{(a)} := \lim_{L \rightarrow \infty} \frac{1}{L} (\text{Length of the } i \text{th column of } \mu^{(a)}) = \frac{Q_{i-1}^{(a-1)} Q_i^{(a+1)}}{Q_i^{(a)} Q_{i-1}^{(a)} Q_1^{(1)}}$$

$$\text{width } \ell_a \text{ of } \mu^{(a)} \simeq \frac{\log L}{\log \frac{p_{a-1}}{p_a}} \quad (L \rightarrow \infty \text{ if } p_0 > \dots > p_n)$$

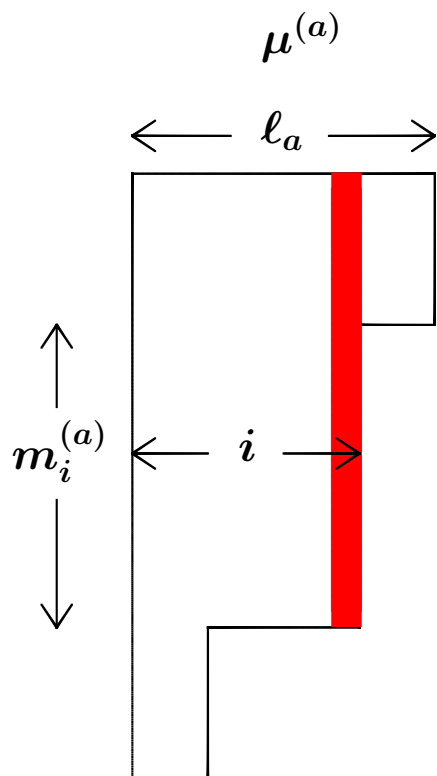


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Special case $p_a = \frac{q^a}{1+q+\dots+q^n} \quad (0 < q \leq 1).$



Scaled column length of $\mu^{(a)}$

$$\eta_i^{(a)} = \frac{q^{i+a-1}(1-q)(1-q^a)(1-q^{n+1-a})}{(1-q^{n+1})(1-q^{i+a-1})(1-q^{i+a})}$$

Strings

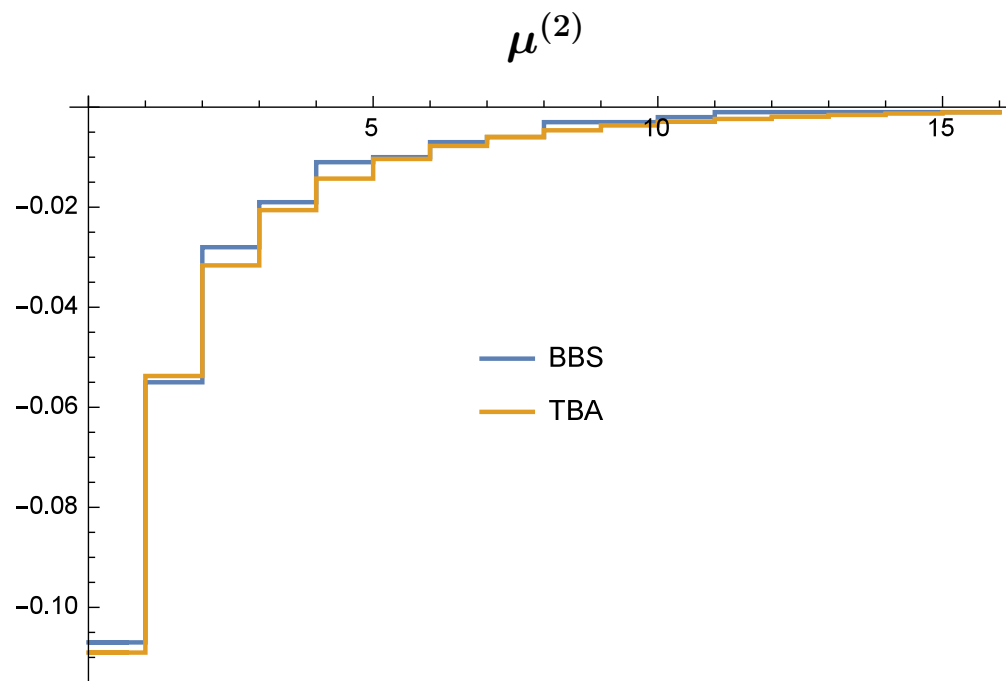
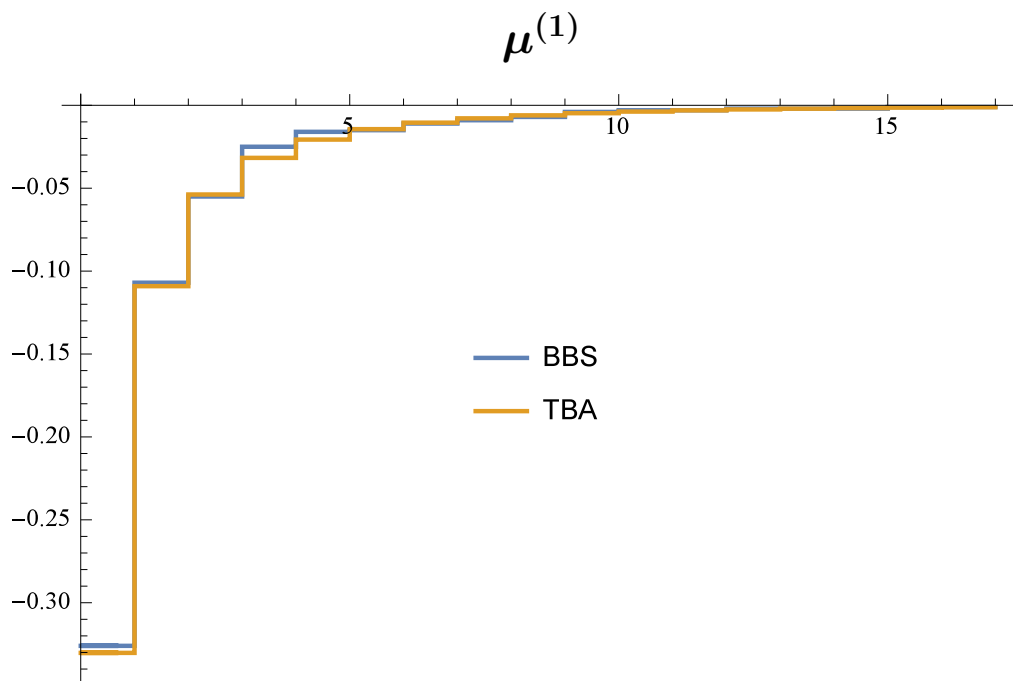
$$\rho_i^{(a)} = \lim_{L \rightarrow \infty} \frac{1}{L} m_i^{(a)} = \frac{q^{i+a-1}(1-q)^2(1-q^a)(1-q^{n+1-a})(1+q^{i+a})}{(1-q^{n+1})(1-q^{i+a-1})(1-q^{i+a})(1-q^{i+a+1})}$$

Holes

$$\sigma_i^{(a)} = \lim_{L \rightarrow \infty} \frac{1}{L} h_i^{(a)} = \frac{q^{a-1}(1-q)^2(1-q^i)(1-q^{n+i+1})(1+q^{i+a})}{(1-q^{n+1})(1-q^{i+a-1})(1-q^{i+a})(1-q^{i+a+1})}$$

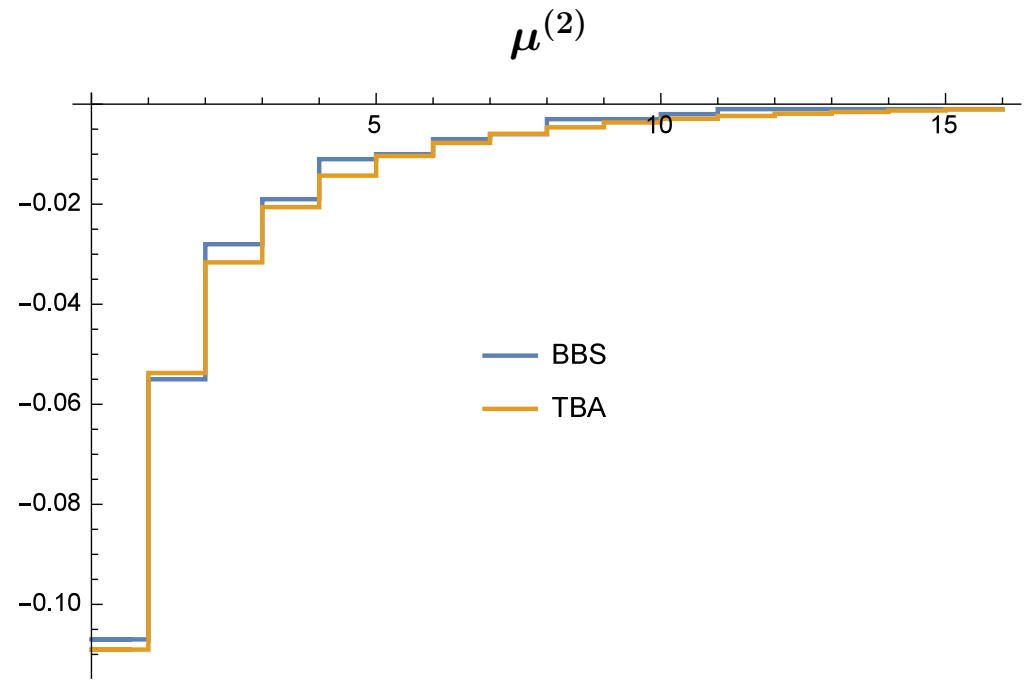
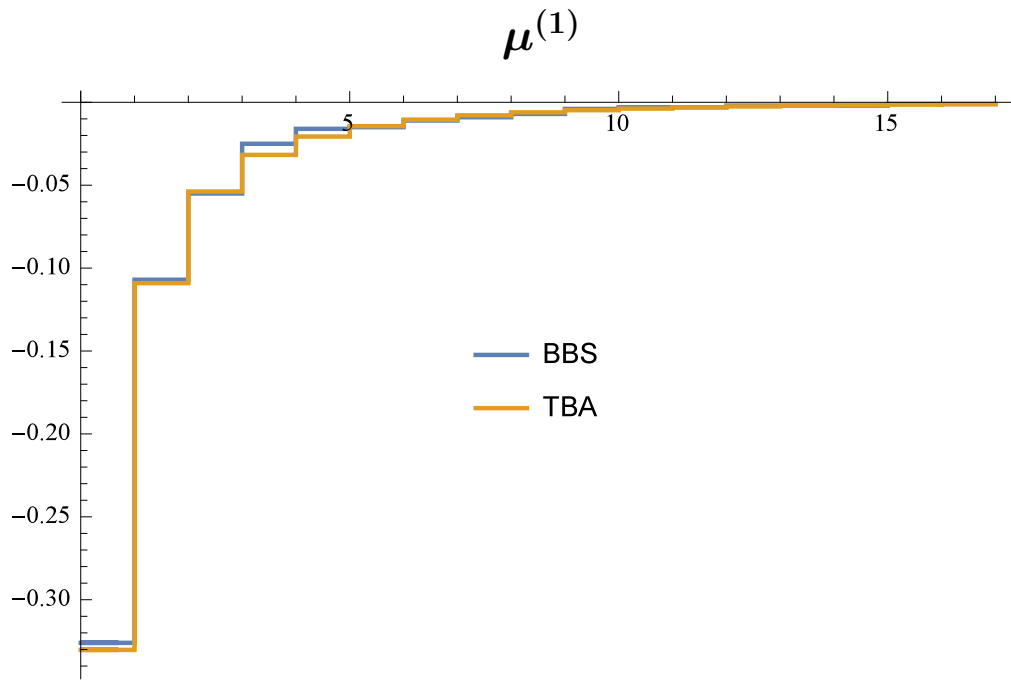
2-color BBS with $L = 1000$ sites with distribution $(p_0, p_1, p_2) = (\frac{7}{18}, \frac{6}{18}, \frac{5}{18})$.

Vertically L^{-1} scaled soliton contents.



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Vertically L^{-1} scaled soliton contents.



- $0 < q < 1$: Subcritical

$\mu^{(a)}$ scales with depth $O(L)$, width $O(\log L)$

- $q = 1$: Critical

$\mu^{(1)}$ scales with depth $O(L)$, width $O(\sqrt{L})$

Generalized hydrodynamics, GHD (from here 1-color BBS only)

[Castro-Alvaredo, Doyon, Yoshimura, Bertini, Collura, De Nardis, Fagotti, ... 2016~]

Densities: ρ_i (amplitude i -solitons), σ_i (hole)

Bethe equation: $\sigma_i = 1 - 2 \sum_j \min(i, j) \rho_j$

Speed equation: $v_i = i + \sum_j 2 \min(i, j) (v_i - v_j) \rho_j$ $\left(\begin{array}{l} v_i = \text{effective speed} \\ 2 \min(i, j) = \text{phase shift} \end{array} \right)$

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Solution for homogeneous BBS:

$$v_i = i \frac{1+q}{1-q} - \frac{2q(1+q)(1-q^i)}{(1-q)^2(1+q^{i+1})}, \quad (\rho_i, \sigma_i) = (\rho_i^{(1)}, \sigma_i^{(1)}) \text{ in previous pages}$$

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In general GHD tells, for weakly space-time dependent systems (i.e. in Euler scale), the **Y-function** $Y_i := \frac{\sigma_i}{\rho_i}$ plays the role of **normal mode** satisfying the separated equation $\frac{\partial Y_i}{\partial t} + v_i \frac{\partial Y_i}{\partial x} = 0$ for each i .

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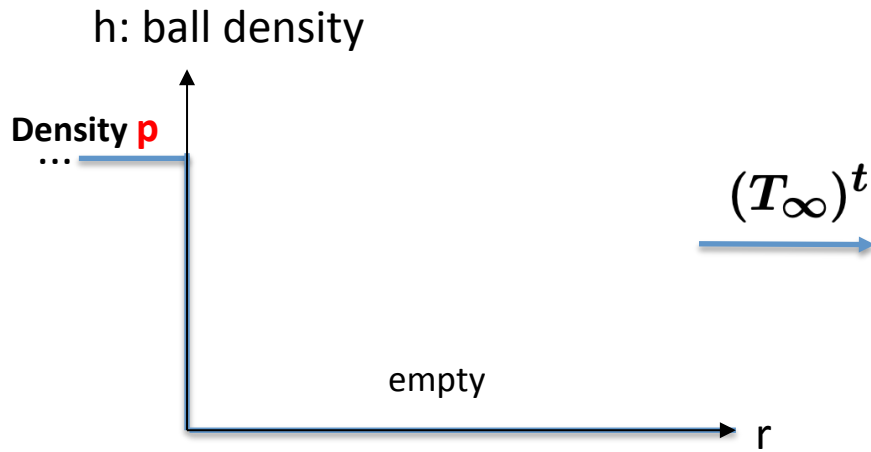
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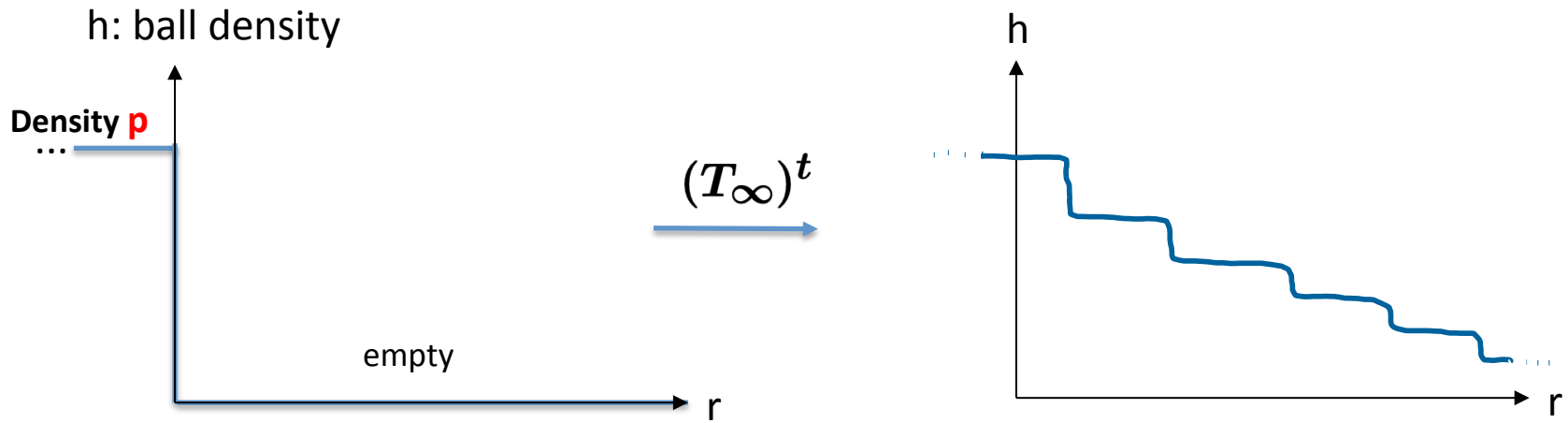
A most fruitful application of this is the Riemann problem:

“Describe the profile of the fluid starting from the *homogeneous* initial state except a *single discontinuity* at some point.”

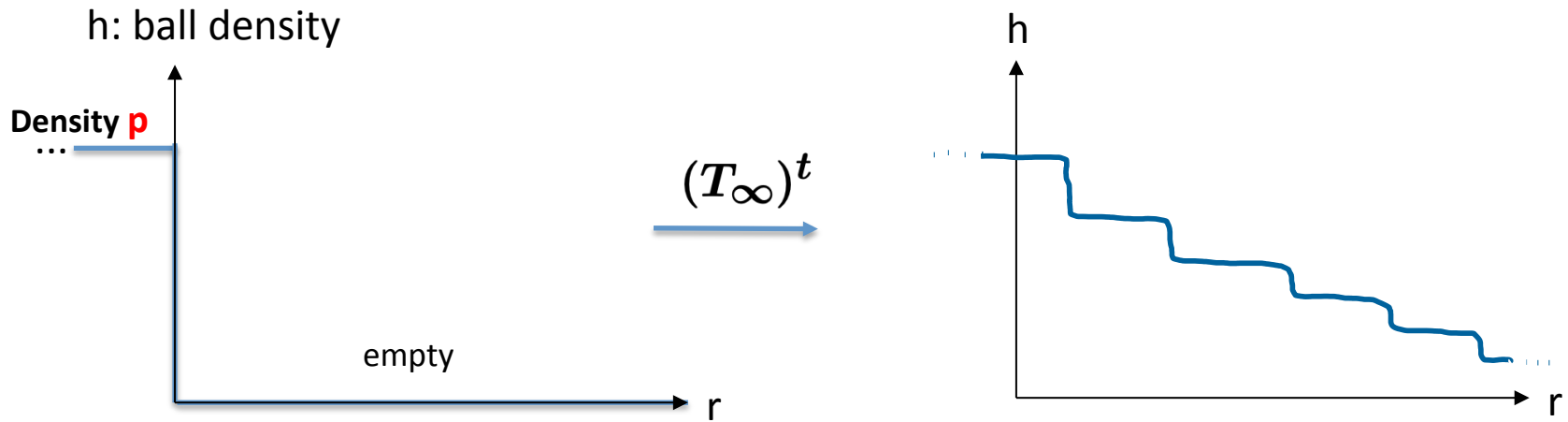
Density Plateaux emerging from domain wall initial condition



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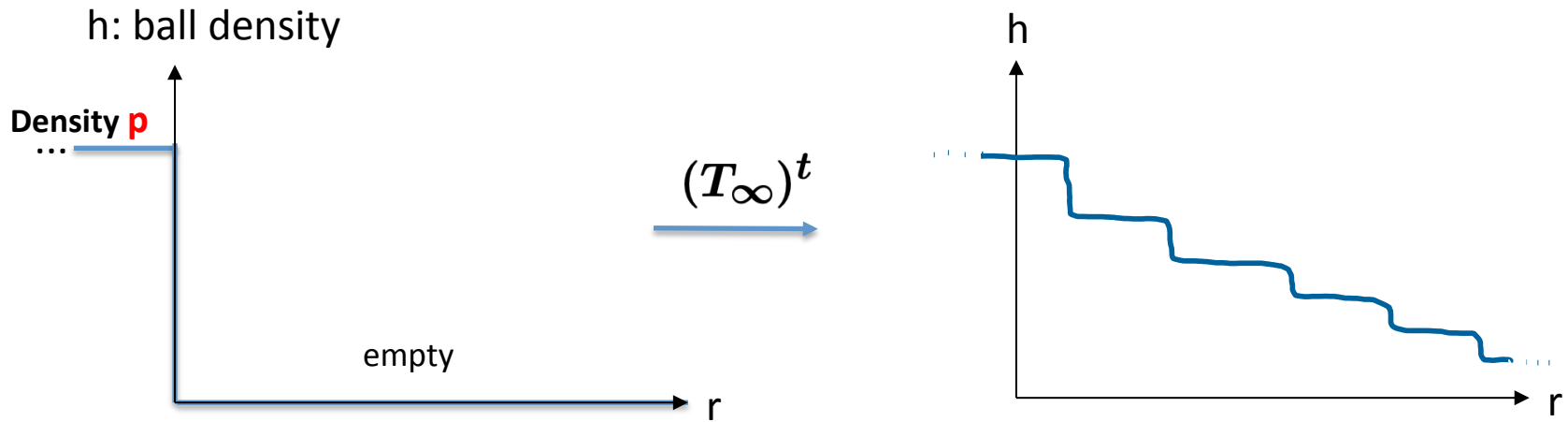


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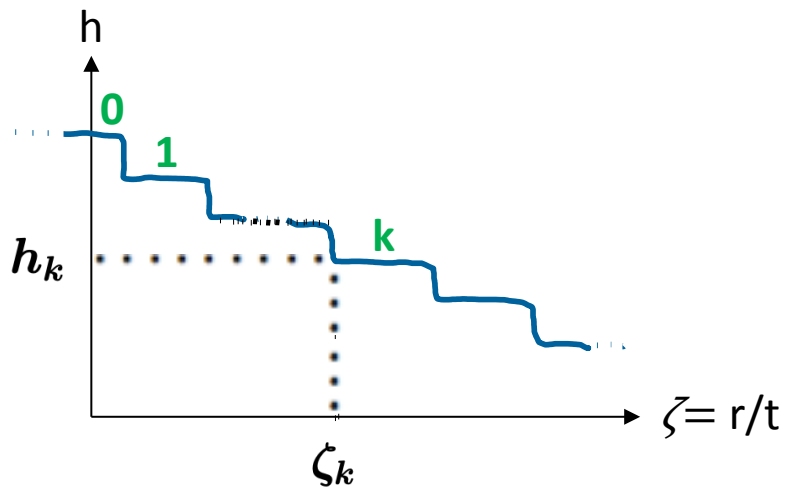


Plateaux broaden linearly in time t . The plot against $\zeta = r/t$ collapses into a single curve.

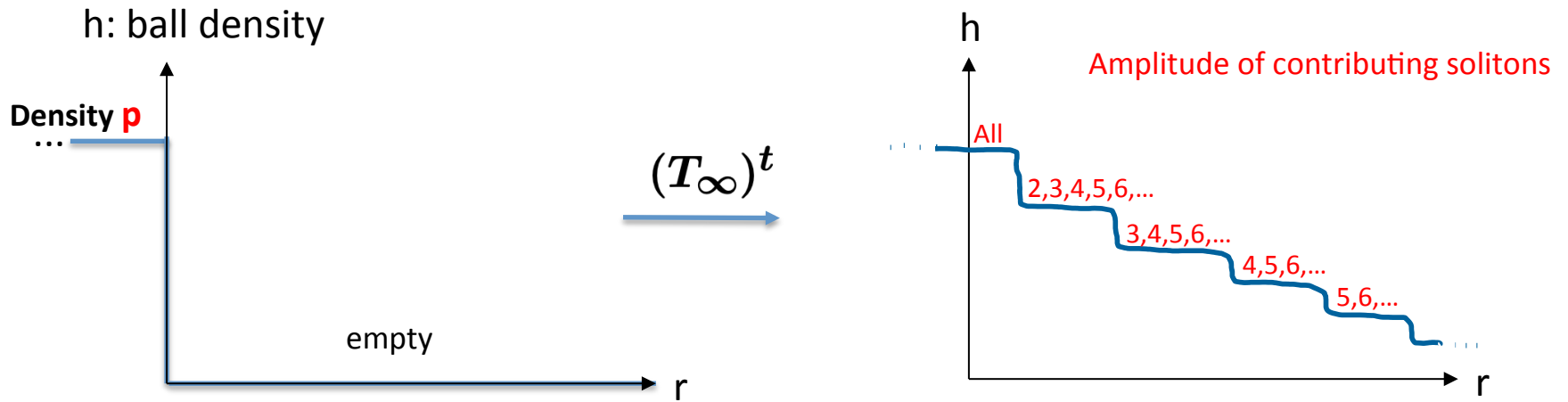
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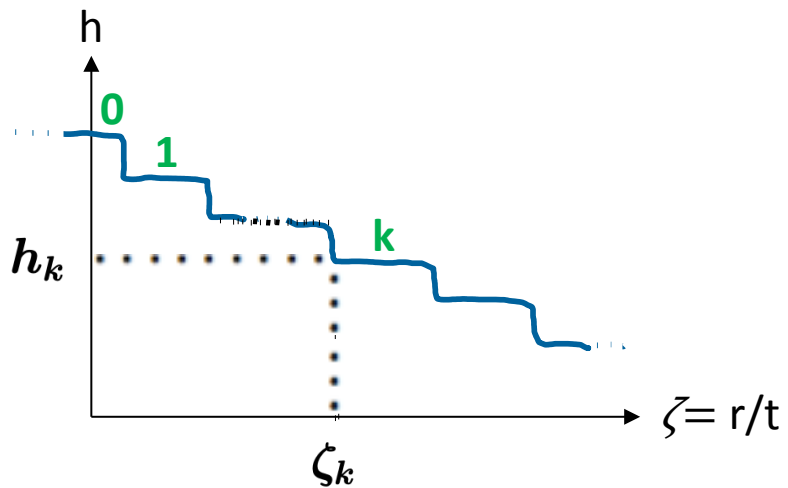
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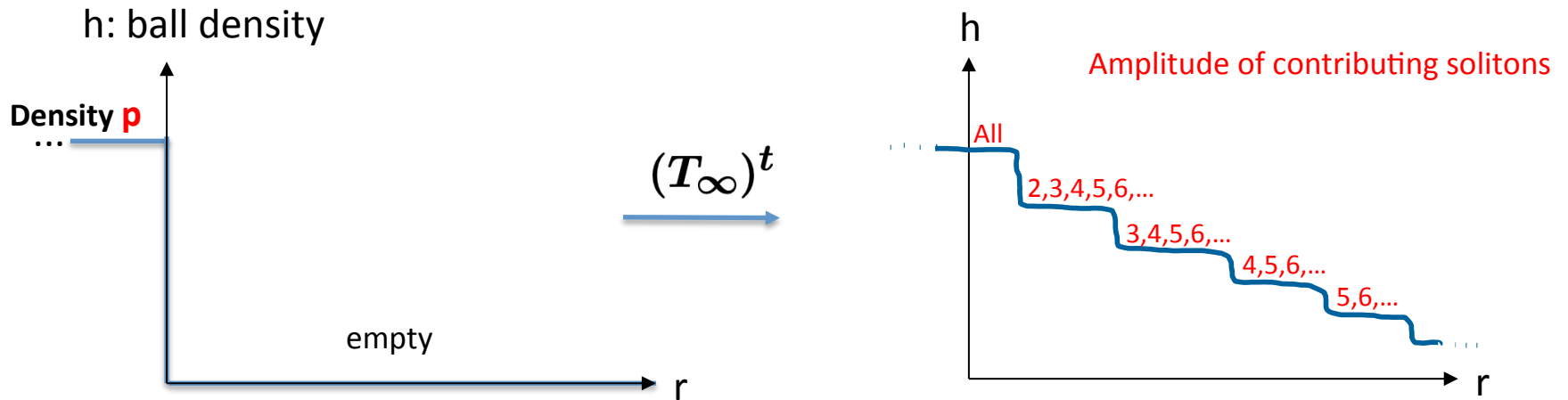
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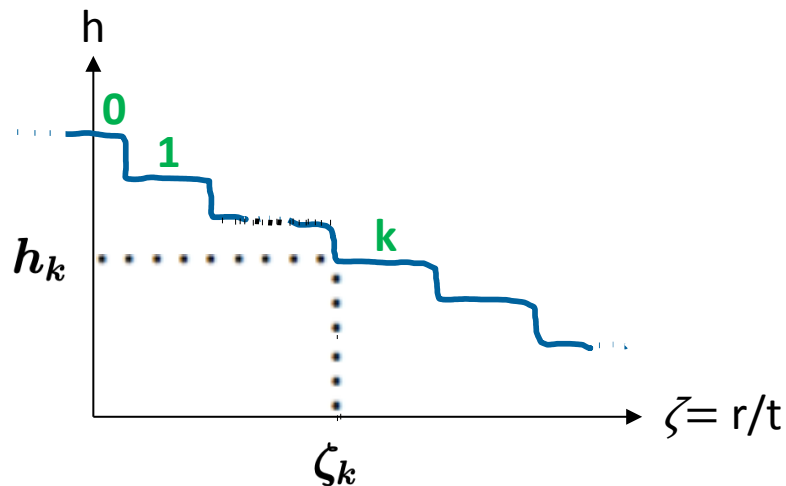
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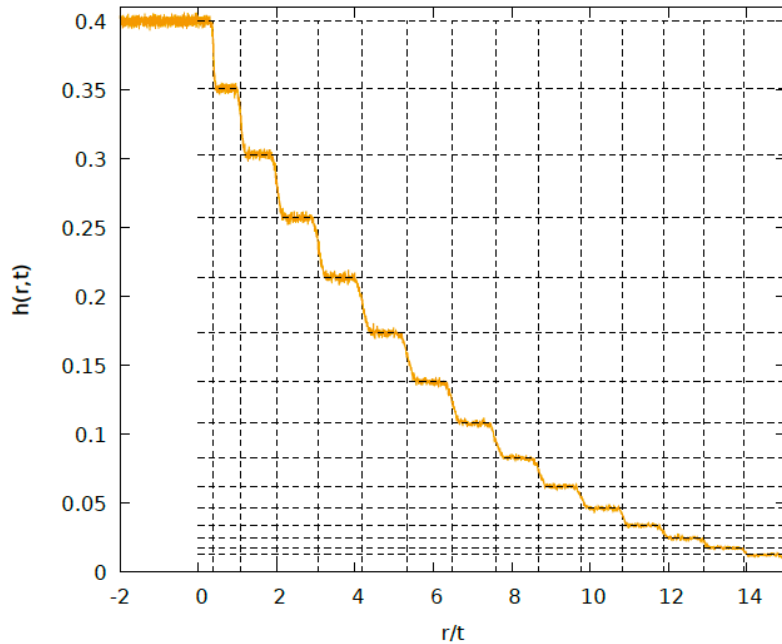
Generalized hydrodynamics (GHD) predicts

$$h_k = \frac{q^{k+1}(1 - q^{k+2} + k(1 - q))}{1 - q^{2k+3} + (2k + 1)(1 - q)q^{k+1}}$$

$$\zeta_k = \frac{k(1 - q^{k+1})}{1 + q^{k+1}} \quad \left(p = \frac{q}{1 + q} \right)$$

Simulation with $N_{\text{samples}} = 50000$

(Plots of ball density vs $\zeta = r/t$. Dotted lines are GHD predictions)

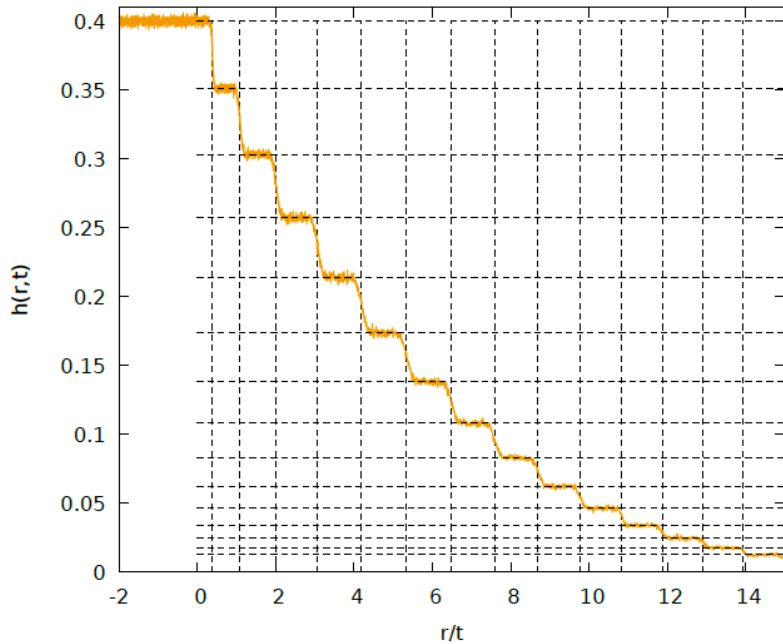


$p=0.4$, $q=0.666\dots$, $t=500$.

Width of plateau edge $\propto \sqrt{t}$ for finite t .

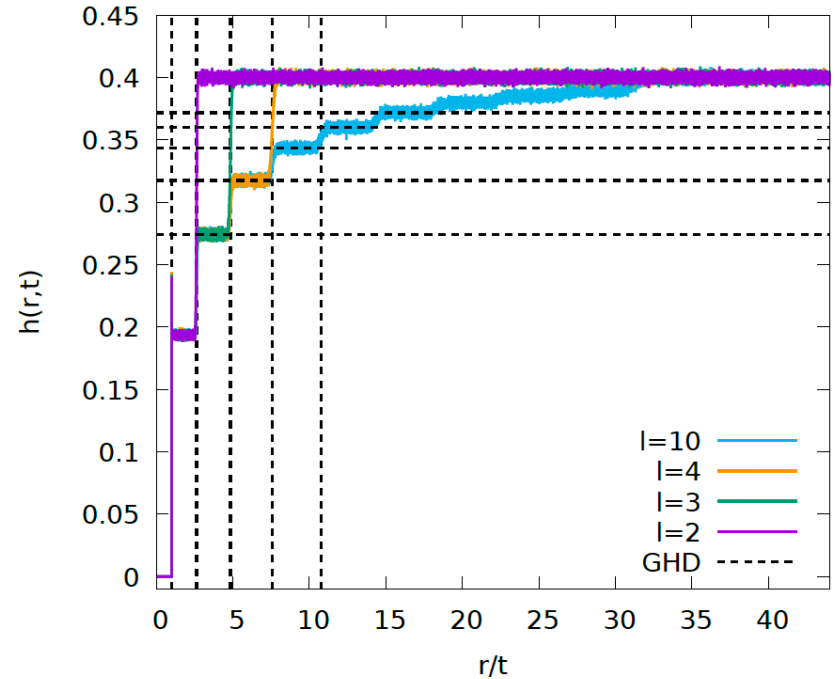
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Plateaux emerging from the *opposite domain wall* with empty left region by T_1 ($l=2,3,4,10$)

Left edge of k th plateau $\zeta =$ effective speed $v_k^{(k)}$

Height of k th plateau ($1 \leq k < l$)

$$= \frac{q(1 - q^{2k+2} - (k+1)(1 - q^2)q^k)}{(1+q)(1 - (2k+3)(1-q)q^{k+1} - q^{2k+3})}$$

Summary

1. BBS is a Yang-Baxter integrable cellular automaton with explicit action-angle variables originating in Bethe strings.
2. Limit shape of soliton content in randomized BBS is determined by TBA.
3. Density plateaux emerging from domain wall initial condition is analytically described by GHD.

Reference

Review part:

R.Inoue, AK and T.Takagi

“Integrable structure of box-ball systems: crystal, Bethe ansatz, ultradiscretization and tropical geometry”, JPA Topical Review (2012), arXiv:1109.5349.

Limit shape problem:

AK, H.Lyu and M.Okado

“Randomized box-ball systems, limit shape of rigged configurations and thermodynamic Bethe ansatz”, NPB(2018), arXiv:1808.02626.

Generalized hydrodynamics of BBS:

AK, G.Misguich and V.Pasquier in preparation.