Integrable Markov process, matrix products and the tetrahedron equation

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Non-equilibrium

statistical mechanics

Stochastic dynamics, Markov process, ...

Integrable systems

Quantum groups, Yang-Baxter equation, ...

Integrable Markov process

Spectral problem of the Markov matrix: solvable by Bethe ansatz Exact asymptotic analysis: connection to random matrices, etc. Stationary states: matrix product structure (Today's topic)

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Prototype examples

Totally asymmetric simple exclusion process (TASEP) <--- today Totally asymmetric zero range process (TAZRP) <--- Tuesday -- Friday

Key feature

Hidden 3D structure in the matrix product related to the tetrahedron equation (= 3D generalization of the Yang-Baxter equation) which becomes manifest in multispecies versions of TASEP and TAZRP. (K-Maruyama-Okado, 2015, 2016)

Totally Asymmetric Simple Exclusion Process (TASEP)



1D periodic chain with *L* sites $\sigma_i \in \{0, 1, ..., n\}$ (*n*-TASEP) Stochastic dynamics $(\sigma_i, \sigma_{i+1}) \rightarrow (\sigma'_i, \sigma'_{i+1})$ $(\alpha, \beta) \rightarrow (\beta, \alpha)$ if $\alpha > \beta$

Master equation

$$\begin{aligned} \frac{d}{dt}|P\rangle &= H|P\rangle, \quad |P\rangle = \sum_{\{\sigma_i\}} \mathbb{P}(\sigma_1, \dots, \sigma_L)|\sigma_1, \dots, \sigma_L\rangle \in (\mathbb{C}^{n+1})^{\otimes L} \\ H &= \sum_{i \in \mathbb{Z}_L} h_{i,i+1}, \quad h_{i,i+1} = 1 \otimes \dots \otimes 1 \otimes \stackrel{i,i+1}{h} \otimes 1 \otimes \dots \otimes 1 \\ h|\alpha, \beta\rangle &= \begin{cases} |\beta, \alpha\rangle - |\alpha, \beta\rangle & (\alpha > \beta), \\ 0 & (\alpha \le \beta). \end{cases} \end{aligned}$$

Sectors labeled by multiplicities $\mathbf{m} = (m_0, \ldots, m_n) \in \mathbb{Z}_+^{n+1}$:

$$S(\mathbf{m}) = \{ \boldsymbol{\sigma} = (\sigma_1, \ldots, \sigma_L) \in \{0, \ldots, n\}^L \mid \#_k(\boldsymbol{\sigma}) = m_k \}.$$

Each sector has the unique steady state (up to normalization)



$$\begin{split} |\bar{P}(1,1,1)\rangle &= 2|012\rangle + |021\rangle + |102\rangle + 2|120\rangle + 2|201\rangle + |210\rangle, \\ |\bar{P}(2,1,1)\rangle &= 3|0012\rangle + |0021\rangle + 2|0102\rangle + 3|0120\rangle + 2|0201\rangle + |0210\rangle \\ &+ |1002\rangle + 2|1020\rangle + 3|1200\rangle + 3|2001\rangle + 2|2010\rangle + |2100\rangle, \\ |\bar{P}(1,2,1)\rangle &= 2|0112\rangle + |0121\rangle + |0211\rangle + |1012\rangle + |1021\rangle + |1102\rangle \\ &+ 2|1120\rangle + 2|1201\rangle + |1210\rangle + 2|2011\rangle + |2101\rangle + |2110\rangle. \end{split}$$

Steady states are non-trivial for $n \ge 2$.

Preceding results on stationary probability of n-TASEP

Combinatorial algorithm: Ferrari-Martin (2007) Matrix product formula: Evans-Ferrari-Mallick (2009), . . .

What is a *matrix product formula* ?

Stationary probability

$$\mathbb{P}(\sigma_1,\ldots,\sigma_L)=\operatorname{Tr}(X_{\sigma_1}\cdots X_{\sigma_L})$$

Trace over the auxiliary space

Each X_{σ_i} is an operator acting on some *auxiliary space*

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Our case:

Auxiliary space = $F^{\otimes n(n-1)/2}$; F = Fock space of *q*-boson at q=0

 X_{σ_i} = Piece of a *layer transfer matrix* of 3D lattice model satisfying the tetrahedron equation



Sector $S(\mathbf{m})$ with multiplicity $\mathbf{m} = (m_0, \ldots, m_n)$ with $\forall m_i > 0$.

$$\begin{split} s_i &:= m_{n-i+1} + \dots + m_{n-1} + m_n, \quad 0 < s_1 < \dots < s_n < L, \\ B^s &:= \{ \mathbf{b} = (b_1, \dots, b_L) \in \{0, 1\}^L \mid b_1 + \dots + b_L = s \}, \\ \text{e.g. } B^1 &= \{100, 010, 001\}, \quad B^2 = \{110, 101, 011\} \text{ for } L = 3, \\ \mathcal{B}(\mathbf{m}) &:= B^{s_1} \otimes \dots \otimes B^{s_n}. \end{split}$$

Ferrari-Martin gave a combinatorial construction of the surjective map $\pi: \mathcal{B}(\mathbf{m}) \to S(\mathbf{m})$ such that $\mathbb{P}(\boldsymbol{\sigma}) = \#(\pi^{-1}(\boldsymbol{\sigma})).$

Example. n = 3, $\mathbf{m} = (2, 1, 2, 2)$, $\mathbf{b_1} \otimes \mathbf{b_2} \otimes \mathbf{b_3} \in \mathcal{B}(\mathbf{m}) = B^2 \otimes B^4 \otimes B^5$ L = 7



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The combinatorial R admits a matrix product formula having a 3D structure



BBQ stick with X shape sausages





ullet (q=0)-boson acts on $F=igoplus_{m\geq 0}\mathbb{C}|m
angle$ as

 $|\mathbf{a}^+|m\rangle = |m+1\rangle, \quad \mathbf{a}^-|m\rangle = (1-\delta_{m,0})|m-1\rangle, \quad \mathbf{k}|m\rangle = \delta_{m,0}|m\rangle$

This is a consequence of the tetrahedron equation satisfied by 3D L-operator.





Elementary relabeling of *n*-TASEP σ by $\varphi_k(\sigma) \in B^{s_k}$ (k = 1, ..., n) defined as

 $\begin{array}{c|c|c} \sigma & 0 & 3 & 1 & 3 & 0 & 2 & 2 \\ \hline \varphi_1(\sigma) & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ \varphi_2(\sigma) & 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ \varphi_3(\sigma) & 0 & 1 & 1 & 1 & 0 & 1 & 1 \end{array}$





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Steady state probability $\mathbb{P}(\sigma) = \#(\pi^{-1}(\sigma))$ is expressed as CTM

$$\mathbb{P}(\sigma) = \sum_{\mathbf{b}_1 \otimes \mathbf{b}_2 \otimes \mathbf{b}_3 \in \mathcal{B}(\mathbf{m})} \begin{array}{c} \mathbf{b}_1 & \stackrel{f}{\longrightarrow} \varphi_1(\sigma) \\ \mathbf{b}_2 & \stackrel{f}{\longrightarrow} \varphi_2(\sigma) \\ \mathbf{b}_3 & \stackrel{f}{\longrightarrow} \varphi_3(\sigma) \end{array} (n = 3 \text{ case})$$

To each vertex, substitute the BBQ stick formula.

Result :
$$\mathbb{P}(\sigma) = \operatorname{Tr}_{F^{\otimes 3}}(X_{\sigma_1} \cdots X_{\sigma_L})$$
 $(n = 3 \text{ case})$

where X_i 's are CTMs of the 5V-model with the boundary conditions:



Example.



Theorem (Matrix product formula for stationary prob. of *n*-TASEP)

$$\mathbb{P}(\boldsymbol{\sigma}) = \mathrm{Tr}_{\boldsymbol{F}^{\otimes n(n-1)/2}}(\boldsymbol{X}_{\sigma_1}\cdots \boldsymbol{X}_{\sigma_L})$$

 X_0, \ldots, X_n are CTMs of (q = 0)-boson valued 5 vertex model:



$$\in \operatorname{End}(F^{\otimes n(n-1)/2})$$

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X_i = Layer transfer matrix with *∇* shape
 P(σ) = Partition function of a 3D system with prism shape

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$$\in \operatorname{End}(F^{\otimes n(n-1)/2})$$

• X_i = Layer transfer matrix with \bigtriangledown shape

• $\mathbb{P}(\sigma) =$ Partition function of a 3D system with prism shape

	initial setup	cross channel (we are here!)
Physical space	\mathbb{Z}_{L} ring	size n
Internal degree	{0,, <i>n</i> }	$U_{\boldsymbol{q}}(\widehat{sl}_{\boldsymbol{L}})$ at $\boldsymbol{q}=0$



n-TASEP Markov matrix H consists of local (pairwise) term h:

$$H = h \otimes 1 \otimes 1 \otimes \cdots + 1 \otimes h \otimes 1 \otimes + \cdots$$

Suppose the operators

$$X = (X_{\alpha})_{0 \leq \alpha \leq n}, \quad \hat{X} = (\hat{X}_{\alpha})_{0 \leq \alpha \leq n}$$

satisfy the "hat relation"

$$h(X\otimes X)=X\otimes \hat{X}-\hat{X}\otimes X$$

Then the stationary states under the periodic B.C. is constructed as Tr(X X X) due to the cancellation mechanism:

$$egin{aligned} &H\operatorname{Tr}(XX\cdots X)=\operatorname{Tr}\left((X\hat{X}-\hat{X}X)XX\cdots X
ight)\ &+\operatorname{Tr}\left(X(X\hat{X}-\hat{X}X)X\cdots X
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ight)+\cdots=0 \end{aligned}$$

$$h(X \otimes X) = X \otimes \hat{X} - \hat{X} \otimes X$$

is the infinitesimal version of Zamolodchikov-Faddeev (ZF) algebra

$$S(x/y)(X(x)\otimes X(y))=X(y)\otimes X(x)$$

with *spectral parameters* x, y via the correspondence

$$h = S'(1), \qquad X = X(1), \qquad \hat{X} = X'(1).$$

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In our n-TASEP, $X(z) = (X_0(z), ..., X_n(z))$ and the ZF relation are given by



 $xX_i(y)X_j(x) = yX_i(x)X_j(y) \ (i > j), \quad [X_i(x), X_j(y)] = [X_i(y), X_j(x)] \ (\forall i, j).$

Strategy of the proof





Define $T(z)_{j}^{a} \in \operatorname{End}(F^{\otimes n^{2}})$ by



n = 3 Example

 $\mathbf{a} = (a_1, \dots, a_n), \ \mathbf{j} = (j_1, \dots, j_n)$ All vertices are $\mathcal{L}(z)$

Sum over all edge spins except **a**, **j**

Layer transfer matrix with SE-fixed/NW-free boundary condition

Prop. Bilinear relations of the layer-to-layer transfer matrices

$$\sum x^{|\boldsymbol{\alpha}|+|\boldsymbol{\beta}|} y^{|\overline{\boldsymbol{\alpha}}|+|\overline{\boldsymbol{\beta}}|} T(x)_{..,\beta_1,...,\beta_s,..}^{..,\alpha_r,..} T(y)_{..,\overline{\beta}_1,...,\overline{\beta}_s,..}^{..,\overline{\alpha}_r,..} = (x \leftrightarrow y).$$

- Sum over $\boldsymbol{\alpha} = (\alpha_1, \ldots, \alpha_r) \in \{0, 1\}^r, \ \boldsymbol{\beta} = (\beta_1, \ldots, \beta_s) \in \{0, 1\}^s$.
- $0 \le r \le n$, $0 \le s \le n$ are arbitrary, $\bar{\alpha}_i = 1 \alpha_i$, $|\alpha| = \alpha_1 + \cdots + \alpha_r$, etc.
- Arrays "...." are arbitrary, but to be taken common for T(x) and T(y).

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Example.

(1)
$$r = s = 0$$
: $T(x)_{j}^{a} T(y)_{j}^{a} = T(y)_{j}^{a} T(x)_{j}^{a} \cdots$ Commutativity
(2) $r = s = 1$: $y^{2} T(x)_{0,...}^{0,...} T(y)_{1,...}^{1,...} + xyT(x)_{1,...}^{0,...} T(y)_{0,...}^{1,...} + xyT(x)_{0,...}^{0,...} T(y)_{0,...}^{1,...} = (x \leftrightarrow y).$

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Fact: ZF relations are included(!) in (1) & (2) at q = 0.

Final task: Proof of the bilinear relations for $T(z)_{i}^{a}$

Introduce a variant of the 3D *L*-operator: $\mathcal{M}(z) := \mathcal{L}(z)|_{q \to -q}$.

Theorem $(\mathcal{L}(z) \text{ and } \mathcal{M}(z) \text{ satisfy the tetrahedron equation})$

 $\mathcal{M}(\frac{ux}{y})_{126}\mathcal{M}(u)_{346}\mathcal{L}(x)_{135}\mathcal{L}(y)_{245} = \mathcal{L}(y)_{245}\mathcal{L}(x)_{135}\mathcal{M}(u)_{346}\mathcal{M}(\frac{ux}{y})_{126}$



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Background and relevant topics:

Factorized scattering theory in 2+1 dimension (Zamolodchikov 1980) Intertwiner of Soibelman's reps. of quantized coordinate ring (Kapranov-Voevodsky 1994) Transition coefficients of the PBW bases (Sergeev 2008, K-Okado-Yamada 2013) Quantum geometry interpretation (Bazhanov-Mangazeev-Sergeev 2008) Repeated application of the tetrahedron eq.





leads to



The bilinear relations are proved by evaluating this identity between various left/right eigenvectors of M(•) running along the green arrow.

Concluding remarks

A parallel story holds for

n-species Totally Asymmetric Zero Range Process (n-TAZRP)

TAZRP and TASEP are sister models corresponding to the quantum R matrices that are factorizable to solutions of tetrahedron equations:

Tetrahedron eq. :	3D R operator	3D L operator
Yang-Baxter eq. :	R matrix for symm. tensor rep.	R matrix for anti-symm. tensor rep.
Markov process:	n-TAZRP (K-Maruyama-Okado 2016)	n-TASEP (today's talk)

q-versions of these models are formulated by stochastic R matrices (Tuesday—Friday)